

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 April 2002 (11.04.2002)

PCT

(10) International Publication Number  
**WO 02/28929 A1**

(51) International Patent Classification<sup>7</sup>: **C08F 246/00**,  
4/40, 293/00

Lancing, West Sussex BN15 9NF (GB). **MA, Yinghua**  
[CN/GB]; 75 Northwood Avenue, Purley, Croydon, Surrey  
CR8 2ES (GB).

(21) International Application Number: PCT/GB01/04432

(74) Agent: **GILL JENNINGS & EVERY**; Broadgate House,  
7 Eldon Street, London EC2M 7LH (GB).

(22) International Filing Date: 5 October 2001 (05.10.2001)

(25) Filing Language: English

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,  
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU,  
CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH,  
GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,  
LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW,  
MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI,  
SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU,  
ZA, ZW.

(26) Publication Language: English

(30) Priority Data:  
00308821.8 6 October 2000 (06.10.2000) EP

(84) Designated States (*regional*): ARIPO patent (GH, GM,  
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian  
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European  
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,  
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,  
CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD,  
TG).

(71) Applicant (*for all designated States except US*): **BIO-  
COMPATIBLES LIMITED** [GB/GB]; Chapman House,  
Farnham Business Park, Weydon Lane, Farnham, Surrey  
GU9 8QL (GB).

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): **LEWIS, Andrew,  
Lennard** [GB/GB]; Biocompatibles Limited, Chapman  
House, Farnham Business Park, Weydon Lane, Farnham,  
Surrey GU9 8QL (GB). **WILLIS, Sean, Leo** [GB/GB];  
Biocompatibles Limited, Chapman House, Farnham  
Business Park, Weydon Lane, Farnham, Surrey GU9 8QL  
(GB). **ARMES, Steven, Peter** [GB/GB]; 16 Farnham  
Avenue, Keymer, Hassocks, West Sussex BN6 8NS (GB).  
**LOBB, Emma, Janice** [GB/GB]; 4 Annweir Avenue,

Published:

— with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



**WO 02/28929 A1**

(54) Title: ZWITTERIONIC POLYMERS

(57) Abstract: Polymers may be made from zwitterionic monomers having controlled architectures and molecular weights, using living polymerisations such as group or atom transfer radical polymerisation. For instance polymers may be formed by atom transfer radical polymerisation using a copper chloride catalyst, a ligand which is water soluble, and a water soluble tertiary alkyl halide initiator to form homopolymers having controlled polydispersities of less than 1.5 and block copolymers with other hydrophilic or hydrophobic monomers. One suitable zwitterionic monomer is 2-methacryloyloxy-2'-trimethylammoniummethyl phosphate inner salt. The block copolymers may spontaneously form micelles, believed to have zwitterionic, for instance phosphorylcholine, groups at the external surface, which may be useful as drug delivery systems with improved biocompatibility.

### **Zwitterionic Polymers**

The present inventions relates to zwitterionic polymers having controlled architectures, specifically having controlled chain length and/or block chain length in block copolymers.

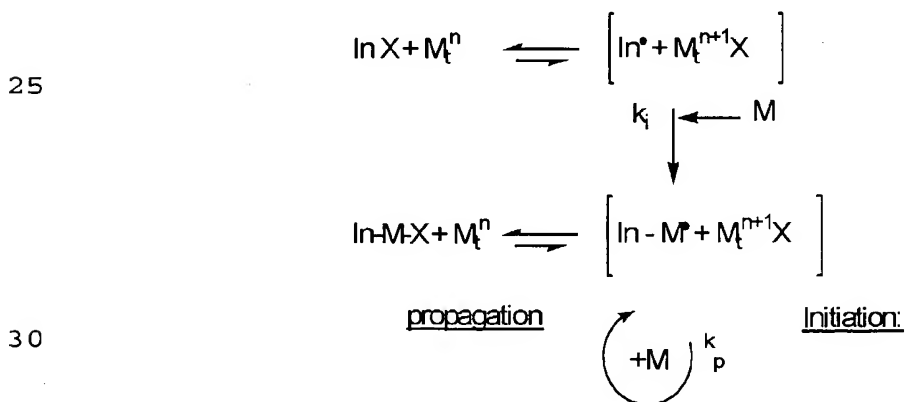
5           It is known that phosphorylcholine based polymers can be used to produce surfaces which are resistant to protein adsorption and blood and microbial cell adhesion. Copolymers of 2-methacryloyloxymethyl-2'-trimethylammonium ethyl phosphate inner salt (MPC) formed by solution polymerisation using thermal initiators such as azoisobutyronitrile are  
10       described in WO-A-9301221. Comonomers are selected to confer particular surface binding characteristics. For instance hydrophobic comonomers such as C<sub>8-24</sub>-alkyl(meth)acrylate comonomers confer surface binding for hydrophobic surfaces. Reactive comonomers confer crosslinkability or covalent reactivity to surface functional groups. Ionic  
15       monomers confer electrostatic binding to oppositely charged surfaces. Various improvements have been described, for instance cationic comonomers have been used to provide additional desirable characteristics as described in WO-A-9822516. Improved crosslinking systems are described in WO-A-9830615.

20           The mixture of MPC and comonomers in the above specifications has reactivity ratios far from 1:1. In thermal (or redox) initiated radical polymerisations, the rate of propagation is very high compared to the rate of initiation. New initiator radicals are generated throughout the course of a polymerisation. Since one of the monomers is more reactive than the  
25       other(s), the composition of monomer available for polymerisation throughout a polymerisation process, and hence the composition of polymer molecules made throughout a process, varies. Whilst some compositional variation may be tolerated in some applications, and may even have benefit in terms of properties it conferred, it is often desirable to provide polymer  
30       having a narrower range of composition and molecular weight.

Some improvements in the compositional variation have been described in WO-A-9822516 and PCT/GB00/02078. The polymerisations

were conducted under monomer starved conditions, by feeding a mixture of monomers over an extended period into the reaction vessel containing initiator. However these processes still produce polymers having a wide variation in terms of molecular weight (polydispersity).

5 Polymerisation methods for polymerising ethylenically unsaturated monomers to provide narrow polydispersities have been developed. One class of polymerisations use ionic living polymers. These are generally conducted in organic solvents (toluene, tetrahydrofuran) in which zwitterionic monomers, which tend to be highly hydrophilic, are insoluble. One type of living polymerisation, sometimes termed pseudo-living polymerisation, developed by Matyjaszewski is called atom transfer radical polymerisation (ATRP). The process is described *inter alia* in WO-A-96/30421, US-A-5807937, WO-A-98/40415, WO-A-9807758 and US-A-5789487. All these polymerisations require a low stationary concentration of growing radicals,  $M_n^\bullet$ , which are in a fast dynamic equilibrium with the dormant species,  $M_nX$ . This reduces the extent of termination reactions by two growing radicals joining together. The initiation reaction should be very fast compared to the rate of propagation. The reaction of growing radicals  $M_n^\bullet$  react reversibly with radicals X which, in ATRP are atoms, generally halogen atoms. In ATRP, the reversible reaction involves a transition metal compound which is able to change oxidation states. The general reaction scheme of atom and group transfer may be represented as follows:



in which  $\text{InX}$  is the initiator compound,  $\text{M}_i$  is the transition metal compound, which is convertible from  $n$  oxidation state to the  $n+1$  oxidation state, and  $\text{M}$  is the monomer.  $K_i$  is the initiation rate constant, and  $K_p$  is the propagation rate constant. The reactions involving the transition metal redox cycle are reversible. The rate constants of the various reactions result in relatively low stationary levels of the moiety  $\text{In-M}_n^+$ , since this reacts to form the dormant species  $\text{In-M-X}$ . The molecular weight increases linearly with increasing monomer conversion. In ATRP, ligands are generally present to complex the transition metal ions, generally in both oxidation states.

Most of Matyjaszewski's ATRP reactions are conducted in organic solvent. Recently X-S Wang *et al.*, in Chem. Commun., 1999, 1817-1818 and in Polymer Preprint 2000, 41(1), 413-414, describe atom transfer radical polymerisations conducted in aqueous media involving water soluble ethylenically unsaturated monomers such as hydroxy ethyl methacrylate (HEMA), sodium methacrylate, sodium 4-vinylbenzoate, 2-aminoethylmethacrylate, 2-sulphatoethyl methacrylate ammonium salt, 3-sulphopropyl methacrylate potassium salt, N-(4-vinylbenzyl) trimethyl ammonium chloride and monomethoxy-capped oligo(ethylene oxide)methacrylate (OEGMA). The initiators used were water soluble bromine substituted compounds such as the reaction product of monomethoxy-capped oligo(ethylene oxide) with 2-bromoisobutryl bromide (OEGBr), 4-bromo- $\alpha$ -toluic acid or ethyl 2-bromopropanoic acid, or 2-(N,N-dimethylamino) ethyl-2'-bromoisobutyrate. The reactions could be conducted at ambient temperatures, at which conversion rates of more than 95% were obtained after less than half an hour. Block copolymers could be formed by the use of the OEGMA-derived macro initiator for polymerising 2-sulphatoethyl methacrylate. Armes described polymerisation of a carboxybetaine monomer in similar systems at a conference in Cambridge, "Controlled free radical polymerisation" 21 September 2000.

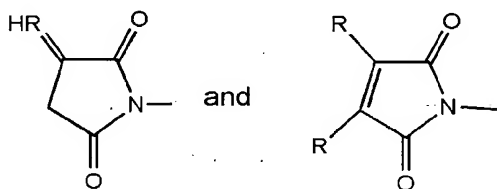
Haddleton, D.M. *et al* at 217th ACS National meeting, Anaheim March 21-25, 1999, POLY-024, described catalytic chain transfer (CCT) polymerisations in aqueous solutions of acrylic monomers, such as 2-

methacryloyloxy-2'-trimethylammonium ethyl phosphate, using a cobalt catalyst, cobaloxine boron fluoride. In CCTP the metal of the catalyst becomes directly joined (reversibly) to the growing polymer chain.

According to the present invention there is provided a new polymerisation process in which ethylenically unsaturated monomers including a zwitterionic monomer of the general formula I



in which Y is an ethylenically unsaturated group selected from  $H_2C=CR-CO-A-$ ,  $H_2C=CR-C_6H_4-A^1-$ ,  $H_2C=CR-CH_2A^2$ ,  $R^2O-CO-CR=CR-CO-O$ ,  $RCH=CH-CO-O-$ ,  $RCH=C(COOR^2)CH_2-CO-O$ ,



A is  $-O-$  or  $NR^1$ ;

$A^1$  is selected from a bond,  $(CH_2)_nA^2$  and  $(CH_2)_nSO_3-$  in which n is 1 to 12;

$A^2$  is selected from a bond,  $-O-$ ,  $O-CO-$ ,  $CO-O$ ,  $CO-NR^1-$ ,  $-NR^1-CO$ ,  $O-CO-NR^1-$ ,  $NR^1-CO-O$ ;

R is hydrogen or  $C_{1-4}$  alkyl;

$R^1$  is hydrogen,  $C_{1-4}$  alkyl or  $BX$ ;

$R^2$  is hydrogen or  $C_{1-4}$  alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents;

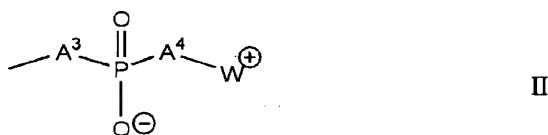
X is an ammonium, phosphonium, or sulphonium phosphate or phosphonate ester zwitterionic group,

are polymerised by a living radical polymerisation process in the presence of an initiator, and a catalyst.

The zwitterionic group X, in this aspect of the invention, comprises as the cationic moiety, and ammonium, phosphonium or sulphonium group.

Preferably the cation is an ammonium group. The anion of the zwitterion is a phospho moiety. It is generally a phosphate diester, or a phosphonate ester based moiety. Generally in the zwitterionic group X, the anion is closer to B than the cation. However in some zwitterions, the cation is closer to the group B than is the anion (called hereinafter phosphobetaines).

Preferably X is a group of the general formula II



10

in which the moieties  $A^3$  and  $A^4$ , which are the same or different, are -O-, -S-, -NH- or a valence bond, preferably -O-, and  $W^+$  is a group comprising an ammonium, phosphonium or sulphonium cationic group and a group linking the anionic and cationic moieties which is preferably a  $C_{1-12}$ -alkanediyl group,

preferably in which  $W^+$  is a group of formula  $-W^1-N^+R^3_3$ ,  $-W^1-P^+R^4_3$ ,  $-W^1-S^+R^4_2$  or  $-W^1-Het^+$  in which:

$W^1$  is alkanediyl of 1 or more, preferably 2-6 carbon atoms optionally containing one or more ethylenically unsaturated double or triple bonds, disubstituted-aryl (arylene), alkylene arylene, arylene alkylene, or alkylene aryl alkylene, cycloalkanediyl, alkylene cycloalkyl, cycloalkyl alkylene or alkylene cycloalkyl alkylene, which group  $W^1$  optionally contains one or more fluorine substituents and/or one or more functional groups; and

either the groups  $R^3$  are the same or different and each is hydrogen or alkyl of 1 to 4 carbon atoms, preferably methyl, or aryl, such as phenyl, or two of the groups  $R^3$  together with the nitrogen atom to which they are attached form an aliphatic heterocyclic ring containing from 5 to 7 atoms, or the three groups  $R^3$  together with the nitrogen atom to which they are attached form a fused ring structure containing from 5 to 7 atoms in each ring, and optionally one or more of the groups  $R^3$  is substituted by a hydrophilic functional group, and

30

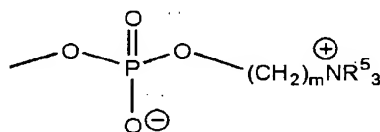
the groups  $R^4$  are the same or different and each is  $R^3$  or a group  $OR^3$ , where  $R^3$  is as defined above; or

Het is an aromatic nitrogen-, phosphorus- or sulphur-, preferably nitrogen-, containing ring, for example pyridine.

5 Monomers in which X is of the general formula in which  $W^+$  is  $W^+N^+R^3_3$  may be made as described in our earlier specification WO-A-9301221. Phosphonium and sulphonium analogues are described in WO-A-9520407 and WO-A-9416749.

Generally a group of the formula II has the preferred general formula

10 III

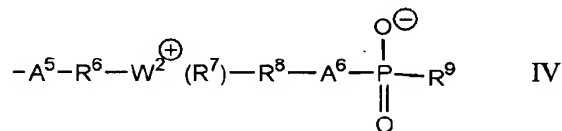


III

15 where the groups  $R^5$  are the same or different and each is hydrogen or  $C_{1-4}$  alkyl, and m is from 1 to 4, in which preferably the groups  $R^5$  are the same preferably methyl.

In phosphobetaine based groups, X may have the general formula IV

20



IV

in which  $A^5$  is a valence bond, -O-, -S- or -NH-, preferably -O-;

$R^6$  is a valence bond (together with  $A^5$ ) or alkanediyl, -C(O)alkylene-  
25 or -C(O)NH alkylene preferably alkanediyl, and preferably containing from 1 to 6 carbon atoms in the alkanediyl chain;

$W^2$  is S,  $PR^7$  or  $NR^7$ ;

the or each group  $R^7$  is hydrogen or alkyl of 1 to 4 carbon atoms or  
the two groups  $R^7$  together with the heteroatom to which they are attached  
30 form a heterocyclic ring of 5 to 7 atoms;

$R^8$  is alkanediyl of 1 to 20, preferably 1 to 10, more preferably 1 to 6 carbon atoms;

A<sup>6</sup> is a bond, NH, S or O, preferably O; and

R<sup>9</sup> is a hydroxyl, C<sub>1-12</sub> alkyl, C<sub>1-12</sub> alkoxy, C<sub>7-18</sub> aralkyl, C<sub>7-18</sub> -aralkoxy, C<sub>6-18</sub> aryl or C<sub>6-18</sub> aryloxy group.

Monomers comprising a group of the general formula IV may be made  
5 by methods as described in JP-B-03-031718, in which an amino substituted monomer is reacted with a phospholane.

In compounds comprising a group of the general formula IV, it is preferred that

A<sup>5</sup> is a bond;  
10 R<sup>6</sup> is a C<sub>2-6</sub> alkanediyl;  
W<sup>2</sup> is NR<sup>7</sup>;  
each R<sup>7</sup> is C<sub>1-4</sub> alkyl;  
R<sup>8</sup> is C<sub>2-6</sub> alkanediyl;  
A<sup>6</sup> is O; and  
15 R<sup>9</sup> is C<sub>1-4</sub> alkoxy.

In the zwitterionic monomer of the general formula I it is preferred that the ethylenic unsaturated group Y is H<sub>2</sub>C=CR-CO-A-. Such acrylic moieties are preferably methacrylic, that is in which R is methyl, or acrylic, in which R is hydrogen. Whilst the compounds may be (meth)acrylamido compounds  
20 (in which A is NR<sup>1</sup>), in which case R<sup>1</sup> is preferably hydrogen, or less preferably, methyl, most preferably the compounds are esters, that is in which A is O.

In monomers of the general formula I, especially where Y is the preferred (alk)acrylic group, B is most preferably an alkanediyl group.  
25 Whilst some of the hydrogen atoms of such group may be substituted by fluorine atoms, preferably B is an unsubstituted alkanediyl group, most preferably a straight chain group having 2 to 6 carbon atoms.

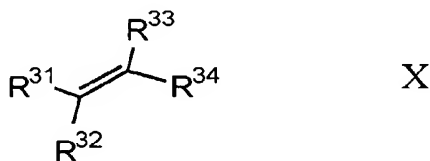
A particularly preferred zwitterionic monomer is 2-methacryloyloxyethyl-2'-trimethylammonium ethyl phosphate inner salt.

30 In the polymerisation process, the ethylenically unsaturated monomers may further include a comonomer. Comonomers are copolymerisable with the zwitterionic monomer and are preferably selected



from anionic, cationic and nonionic monomers. It is generally preferred that the monomer mixture include at least one nonionic monomer. Another class of comonomer is a cross-linking monomer having a functional group which may be cured after polymerisation to cross-link the polymer.

5           Examples of suitable comonomers are compounds of the general formula X



10           in which  $\text{R}^{31}$  is selected from hydrogen, halogen,  $\text{C}_{1-4}$  alkyl and groups  $\text{COOR}^2$  in which  $\text{R}^2$  is hydrogen and  $\text{C}_{1-4}$  alkyl;

$\text{R}^{32}$  is selected from hydrogen, halogen and  $\text{C}_{1-4}$  alkyl;

$\text{R}^{33}$  is selected from hydrogen, halogen,  $\text{C}_{1-4}$  alkyl and groups  $\text{COOR}^2$  provided that  $\text{R}^{31}$  and  $\text{R}^{33}$  are not both  $\text{COOR}^2$ ; and

15            $\text{R}^{34}$  is a  $\text{C}_{1-10}$  alkyl, a  $\text{C}_{1-20}$  alkoxy carbonyl, a mono- or di- ( $\text{C}_{1-20}$  alkyl) amino carbonyl, a  $\text{C}_{6-20}$  aryl (including alkaryl) a  $\text{C}_{7-20}$  aralkyl, a  $\text{C}_{6-20}$  aryloxy carbonyl, a  $\text{C}_{1-20}$  -aralkyloxy carbonyl, a  $\text{C}_{6-20}$  arylamino carbonyl, a  $\text{C}_{7-20}$  aralkyl-amino, a hydroxyl or a  $\text{C}_{2-10}$  acyloxy group, any of which may have  
20           one or more substituents selected from halogen atoms, alkoxy, oligo-alkoxy, aryloxy, acyloxy, acylamino, amine (including mono and di-alkyl amino and trialkylammonium in which the alkyl groups may be substituted), carboxyl, sulphonyl, phosphoryl, phosphino, (including mono- and di- alkyl phosphine and tri-alkylphosphonium), zwitterionic, hydroxyl groups, vinyloxy carbonyl  
25           and other vinylic or allylic substituents, and reactive silyl or silyloxy groups, such as trialkoxysilyl groups;

          or  $\text{R}^{34}$  and  $\text{R}^{33}$  or  $\text{R}^{34}$  and  $\text{R}^{32}$  may together form  $-\text{CONR}^{35}\text{CO}$  in which  $\text{R}^{35}$  is a  $\text{C}_{1-20}$  alkyl group.

30           It is preferred for at least two of the groups  $\text{R}^{31}$ ,  $\text{R}^{32}$ ,  $\text{R}^{33}$  and  $\text{R}^{34}$  to be halogen or, more preferably, hydrogen atoms. Preferably  $\text{R}^{31}$  and  $\text{R}^{32}$  are both hydrogen atoms. It is particularly preferred that compound of general formula X be a styrene-based or acrylic based compound. In styrene based

compounds  $R^{34}$  represents an aryl group, especially a substituted aryl group in which the substituent is an amino alkyl group, a carboxylate or a sulphonate group. Where the comonomer is an acrylic type compound,  $R^{34}$  is an alkoxycarbonyl, an alkyl amino carbonyl, or an aryloxy carbonyl group.

- 5 Most preferably in such compounds  $R^{34}$  is a  $C_{1-20}$ -alkoxy carbonyl group, optionally having a hydroxy substituent. Acrylic compounds are generally methacrylic in which case  $R^{33}$  is methyl.

Where a comonomer is included in the polymerisation process of the invention, the molar ratio of zwitterionic monomer to comonomer is  
 10 preferably in the range 1:50 to 50:1, more preferably in the range 1:10 to 10:1, more preferably in the range 1:5 to 1:1.

The living radical polymerisation process of the invention may be a group transfer radical polymerisation, for instance in which an N-O, or other carbon-, sulphur-, and oxygen- centered radical group is transferred from an  
 15 initiator compound to a monomer. Preferably, however, the process is an atom transfer radical polymerisation process.

In the atom or group transfer radical polymerisation process, the initiator has a radically transferable atom or group, and the catalyst comprises a transition metal compound and a ligand, in which the transition  
 20 metal compound is capable of participating in a redox cycle with the initiator and dormant polymer chain, and the ligand is either any N-, O-, P- or S-containing compound which can coordinate with the transition metal atom in a  $\sigma$ -bond, or any carbon-containing compound which can coordinate with the transition metal in a  $\pi$ -bond, such that direct bonds between the transition  
 25 metal and growing polymer radicals are not formed.

Preferably the radical initiator is of the general formula V



where:

- 30  $X^2$  is selected from the group consisting of Cl, Br, I,  $OR^{10}$ ,  $SR^{14}$ ,  $SeR^{14}$ ,  $OP(=O)R^{14}$ ,  $OP(=O)(OR^{14})_2$ ,  $O-N(R^{14})_2$  and  $S-C(=S)N(R^{14})_2$ , where  $R^{10}$  is alkyl of from 1 to 20 carbon atoms in which each of the hydrogen atoms may

be independently replaced by halide,  $R^{14}$  is aryl or a straight or branched  $C_1$ - $C_{20}$  alkyl group, and where an  $N(R^{14})_2$  group is present, the two  $R^{14}$  groups may be joined to form a 5- or 6-membered heterocyclic ring; and

$R^{11}$ ,  $R^{12}$  and  $R^{13}$  are each independently selected from the group consisting of H, halogen,  $C_1$ - $C_{20}$  alkyl,  $C_3$ - $C_8$  cycloalkyl,  $C(=O)R^{15}$ ,  $C(=O)NR^{16}R^{17}$ ,  $COCl$ ,  $OH$ ,  $CN$ ,  $C_2$ - $C_{20}$  alkenyl,  $C_2$ - $C_{20}$  alkenyl oxiranyl, glycidyl, aryl, heterocyclyl, aralkyl, aralkenyl,  $C_1$ - $C_6$  alkyl in which from 1 to all of the hydrogen atoms are replaced with halogen,  $C_1$ - $C_6$  alkyl substituted with from 1 to 3 substituents selected from the group consisting of  $C_1$ - $C_4$  alkoxy, aryl, heterocyclyl,  $C(=O)R^{15}$ ,  $C(=O)NR^{16}R^{17}$ ,  $-CR^{12}R^{13}X^2$ , oxiranyl and glycidyl;

where  $R^{15}$  is alkyl of from 1 to 20 carbon atoms, alkoxy of from 1 to 20 carbon atoms, oligo(alkoxy) in which each alkoxy group has 1 to 3 carbon atoms, aryloxy or heterocyclyloxy any of which groups may have substituents selected from optionally substituted alkoxy, oligoalkoxy, amino (including mono- and di-alkyl amino and trialkyl ammonium, which alkyl groups, in turn may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy) and hydroxyl groups; and

$R^{16}$  and  $R^{17}$  are independently H or alkyl of from 1 to 20 carbon atoms which alkyl groups, in turn may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy, or  $R^{16}$  and  $R^{17}$  may be joined together to form an alkanediyl group of from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring;

such that not more than two of  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  are H.

In the initiator of the general formula V it is preferred that no more than one of  $R^{11}$ ,  $R^{12}$  and  $R^{13}$ , and preferably none, is hydrogen. Suitably at least one, and preferably both of  $R^{11}$  and  $R^{12}$  is methyl.  $R^{13}$  is suitably a group  $CO-R^{15}$  in which  $R^{15}$  is preferably alkoxy of from 1 to 20 carbon atoms, oligo(alkoxy) in which each alkoxy group has 1 to 3 carbon atoms, aryloxy or heterocyclyloxy any of which groups may have substituents selected from optionally substituted alkoxy, oligoalkoxy, amino (including mono- and di-alkyl amino and trialkyl ammonium, which alkyl groups, in turn may have

substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy) and hydroxyl groups.

Since any of  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  may comprise a substituent  $C^{12}R^{13}X^2$ , the initiator may be di-, oligo- or poly- functional.

5            Selection of a suitable initiator is based on various considerations. Where the polymerisation is carried out in the liquid phase, in which the monomers are dissolved, it is preferable for the initiator to be soluble in that liquid phase. The initiator is thus selected for its solubility characteristics according to the solvent system which in turn is selected according to the  
10           monomers being polymerised. Also the choice of monomer will affect the polymer architecture. Star, comb, block or linear polymers may be selected by choice of a suitable initiator. A star initiator could be synthesised from a halogenated sugar. A comb initiator could be based on a polymer with pendant halogenated groups. Water-soluble initiators include, for instance  
15           the reaction product of monomethoxy-capped oligo(ethylene oxide) with 2-bromoisobutyryl bromide (OEGBr), 4-bromo- $\alpha$ -toluic acid or ethyl 2-bromopropanoic acid or 2-(N,N-dimethylamino) ethyl-2'-bromoisobutyrate.

From the general reaction scheme shown above, it is clear that the portion of the initiator  $-C-R^{11}R^{12}R^{13}$  becomes joined to the first monomer of  
20           the growing polymer chain. The group  $X^2$  becomes joined to the terminal unit of the polymer chain. Selection of a suitable initiator is determined in part by whether a terminal group having particular characteristics is required for subsequent functionality. Subsequent reactions of the product polymer are described below.

25           In the atom or group radical transfer polymerisation process the transition metal compound which comprises a component of the catalyst is  $M_t^{n+}X'_n$ , where:

$M_t^{n+}$  may be selected from the group consisting of  $Cu^{1+}$ ,  $Cu^{2+}$ ,  $Fe^{2+}$ ,  $Fe^{3+}$ ,  $Ru^{2+}$ ,  $Ru^{3+}$ ,  $Cr^{2+}$ ,  $Cr^{3+}$ ,  $Mo^{2+}$ ,  $Mo^{3+}$ ,  $W^{2+}$ ,  $W^{3+}$ ,  $Mn^{2+}$ ,  $Mn^{3+}$ ,  $Mn^{4+}$ ,  $Rh^{3+}$ ,  
30            $Rh^{4+}$ ,  $Re^{2+}$ ,  $Re^{3+}$ ,  $Co^+$ ,  $Co^{2+}$ ,  $Co^{3+}$ ,  $V^{2+}$ ,  $V^{3+}$ ,  $Zn^+$ ,  $Zn^{2+}$ ,  $Ni^{2+}$ ,  $Ni^{3+}$ ,  $Au^+$ ,  $Au^{2+}$ ,  $Ag^+$  and  $Ag^{2+}$ ;

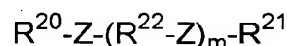
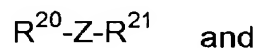
X' is selected from the group consisting of halogen, C<sub>1</sub>-C<sub>6</sub>-alkoxy, (SO<sub>4</sub>)<sub>n</sub>, (PO<sub>4</sub>)<sub>1/3</sub>, (R<sup>18</sup>PO<sub>4</sub>)<sub>1/2</sub>, (R<sup>18</sup><sub>2</sub>PO<sub>4</sub>), triflate, hexafluorophosphate, methanesulphonate, arylsulphonate, CN and R<sup>19</sup>CO<sub>2</sub>, where R<sup>18</sup> is aryl or a straight or branched C<sub>1-20</sub> alkyl and R<sup>19</sup> is H or a straight or branched C<sub>1</sub>-C<sub>6</sub> alkyl group which may be substituted from 1 to 5 times with a halogen; and n is the formal charge on the metal (0 ≤ n ≤ 7).

Preferably X' is halide, most preferably chloride or bromide.

Particularly suitable transition metal compounds are based on copper or ruthenium, for instance CuCl or RuCl<sub>2</sub>.

In the catalyst, the ligand is preferably selected from the group consisting of:

a) compounds of the formulas:



where:

R<sup>20</sup> and R<sup>21</sup> are independently selected from the group consisting of H, C<sub>1</sub>-C<sub>20</sub> alkyl, aryl, heterocyclyl and C<sub>1</sub>-C<sub>6</sub> alkoxy, C<sub>1</sub>-C<sub>4</sub> dialkylamino, C(=O)R<sup>22</sup>, C(=O)R<sup>23</sup>R<sup>24</sup> and A<sup>7</sup>C(=O)R<sup>25</sup>, where A<sup>7</sup> may be NR<sup>26</sup> or O; R<sup>22</sup> is alkyl of from 1 to 20 carbon atoms, aryloxy or heterocycloxy; R<sup>23</sup> and R<sup>24</sup> are independently H or alkyl of from 1 to 20 carbon atoms or R<sup>23</sup> and R<sup>24</sup> may be joined together to form an alkanediyl group of from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring; R<sup>25</sup> is H, straight or branched C<sub>1</sub>-C<sub>20</sub> alkyl or aryl and R<sup>26</sup> is hydrogen, straight or branched; C<sub>1-20</sub>-alkyl or aryl; or R<sup>20</sup> and R<sup>21</sup> may be joined to form, together with Z, a saturated or unsaturated ring;

Z is O, S, NR<sup>27</sup> or PR<sup>27</sup>, where R<sup>27</sup> is selected from the same group as R<sup>20</sup> and R<sup>21</sup>, and where Z is PR<sup>27</sup>, R<sup>27</sup> can also C<sub>1</sub>-C<sub>20</sub> alkoxy or Z may be a bond, CH<sub>2</sub> or a fused ring, where one or both of R<sup>20</sup> and R<sup>21</sup> is heterocyclyl, each R<sup>22</sup> is independently a divalent group selected from the group consisting of C<sub>1</sub>-C<sub>8</sub> cycloalkanediyl, C<sub>1</sub>-C<sub>8</sub> cycloalkenediyl, arenediyl and

heterocyclylene where the covalent bonds to each Z are at vicinal positions or  $R^{22}$  may be joined to one or both of  $R^{20}$  and  $R^{21}$  to formulate a heterocyclic ring system; and

m is from 1 to 6;

5           b) CO;

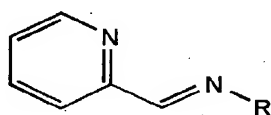
c) porphyrins and porphycenes, which may be substituted with from 1 to 6 halogen atoms,  $C_{1-6}$  alkyl groups,  $C_{1-6}$ -alkoxy groups,  $C_{1-6}$  alkoxycarbonyl, aryl groups, heterocyclyl groups, and  $C_{1-6}$  alkyl groups further substituted with from 1 to 3 halogens;

10           d) compounds of the formula  $R^{23}R^{24}C(C(=O)R^{25})_2$ , where  $R^{25}$  is  $C_{1-20}$  alkyl,  $C_{1-20}$  alkoxy, aryloxy or heterocyclyloxy; and each of  $R^{23}$  and  $R^{24}$  is independently selected from the group consisting of H, halogen,  $C_{1-20}$  alkyl, aryl and heterocyclyl, and  $R^{23}$  and  $R^{24}$  may be joined to form a  $C_{1-8}$  cycloalkyl ring or a hydrogenated aromatic or heterocyclic ring, of which the ring atoms  
15 may be further substituted with 1 to 5  $C_{1-6}$  alkyl groups,  $C_{1-6}$  alkoxy groups, halogen atoms, aryl groups, or combinations thereof; and

e) arenes and cyclopentadienyl ligands, where said cyclopentadienyl ligand may be substituted with from one to five methyl groups, or may be linked through an ethylene or propylene chain to a  
20 second cyclopentadienyl ligand.

Selection of a suitable ligand is, for instance, based upon the solubility characteristics and/or the separability of the catalyst from the product polymer mixture. Generally it is catalyst to be soluble in a liquid reaction mixture, although under some circumstances it may be possible to  
25 immobilise the catalyst, for instance on a porous substrate. For the preferred process, which is carried out in the liquid phase, the ligand is soluble in a liquid phase. The ligand is generally a nitrogen containing ligand. The preferred ligand may be a compound including a pyridyl group and an imino moiety, such as bipyridine, or

30



where R is a suitable alkyl group, the substituent being variable and adaptable to confer desired solubility characteristics or may be triphenylphosphine or 1,1,4,7,10,10-hexamethyl-triethylene tetramine.

Such ligands are usefully used in combination with copper chloride  
5 and ruthenium chloride transition metal compounds as part of the catalyst.

The living radical polymerisation process of the invention is preferably carried out to achieve a degree of polymerisation in the range 5 to 500. Preferably the degree of polymerisation is in the range 10 to 100, more preferably in the range 10 to 50. In the preferred group or atom transfer  
10 radical polymerisation technique, the degree of polymerisation is directly related to the initial ratios of initiator to monomer. Preferably the ratio is in the range 1:(5 to 500), more preferably in the range of 1:(10 to 100), most preferably in the range 1:(10 to 50).

The ratio of metal compound and ligand in the catalyst should be  
15 approximately stoichiometric, based on the ratios of the components when the metal ion is fully complexed. The ratio should preferably be in the range 1:(0.5 to 2) more preferably in the range 1:(0.8:1.25). Preferably the range is about 1:1.

In the process, the catalyst may be used in amounts such that a molar  
20 equivalent quantity as compared to the level of initiator is present. However, since catalyst is not consumed in the reaction, it is generally not essential to include levels of catalyst as high as of initiator. The ratio of catalyst (based on transition metal compound) to initiator is preferably in the range 1:(1 to 50), more preferably in the range 1:(1 to 10).

25 Whilst the polymerisation reaction may be carried out in the gaseous phase, it is more preferably carried out in the liquid phase. The reaction may be heterogeneous, that is comprising a solid and a liquid phase, but is more preferably homogeneous. Preferably the polymerisation is carried out in a single liquid phase. Where the monomer is liquid, it is sometimes  
30 unnecessary to include a non-polymerisable solvent. More often, however, the polymerisation takes place in the presence of a non-polymerisable solvent. The solvent should be selected having regard to the nature of the

zwitterionic monomer and any comonomer, for instance for its suitability for providing a common solution containing both monomers. The solvent may comprise a single compound or a mixture of compounds.

It has been found that, especially where the zwitterionic monomer is MPC, that it is desirable to include water in the polymerisation mixture. Preferably water should be present in an amount in the range 10 to 100% by weight based on the weight of ethylenically unsaturated monomer. Preferably the total non-polymerisable solvent comprised 1 to 500% by weight based on the weight of ethylenically unsaturated monomer. It has been found that the zwitterionic monomer and water should be in contact with each other for as short a period as possible prior to contact with the initiator and catalyst. It may be desirable therefore for all the components of the polymerisation other than the zwitterionic monomer to be premixed and for the zwitterionic monomer to be added to the premix as the last additive.

It is often desired to copolymerise MPC or other zwitterionic monomer with a comonomer which is insoluble in water. In such circumstances, a solvent or co-solvent (in conjunction with water) is included to confer solubility on both MPC and the more hydrophobic monomer. Suitable organic solvents are ethers, esters and, most preferably, alcohols. Especially where a mixture of organic solvent and water is to be used, suitable alcohols are C<sub>1-4</sub>-alkanols. Methanol is found to be particularly suitable in the polymerisation process of the invention.

The process may be carried out at raised temperature, for instance up to 60 to 80 °C. However it has been found that the process proceeds sufficiently fast at ambient temperature.

The polymerisation process of the invention has been found to provide polymers of zwitterionic monomers having a polydispersity (of molecular weight) of less than 1.5, as judged by gel permeation chromatography. Polydispersities in the range 1.2 to 1.4 have been achieved. Conversion rates achieved in the process are over 90% often over 95% or higher. It is preferred that the process be continued until a conversion level of at least 50%, or usually, at least 70% is reached.



It is believed that this process is the first time that low polydispersity polymers have been formed of monomers of the general formula I and such polymers form a further aspect of the invention.

Such polymers are preferably made by the living radical  
5 polymerisation process of the first aspect of the invention. Other controlled polymerisation techniques may be used for instance NO group transfer systems such as are described in WO-A-0018807, catalyst systems described in WO-A-9958588, systems involving irradiation with visible light, or other EM radiation such as described in WO-A-99/10387, radical addition  
10 fragmentation chain transfer polymerisation (RAFT) as described in Rizzardo, E. *et al.* ACS Symposium Series 2000, 768, 278-296, using compounds (initiators) of the general type Z-C=SSR or macromolecular design through interchange of xanthes (MADIX) as described by Bontevin, B., J. Polym.Sci. PtA, Polym.Chem., 2000, 38(18), 3235-3243.

15 The polymer product of the polymerisation process of the invention may be a useful product as such. It may be desirable to deactivate or functionalise the terminal groups, that is the  $CR^{11}R^{12}R^{13}$  and/or  $X^2$  groups. The presence of such groups in the final polymer may provide useful functionality for subsequent chemical reactions. For instance tertiary amine  
20 substituents in such groups may be quaternised, ethylenic groups may be polymerised, and crosslinkable groups may be cured.

The product polymer may be a useful intermediate for forming block copolymers. A product polymer having a single terminal group  $X^2$  may be used as an initiator in a second group or atom transfer radical polymerisation  
25 step carried out in the presence of a catalyst, and additional ethylenically unsaturated monomer. The product will be a block copolymer of the A-B type. The second block may be of the same or, more usefully, a different composition to that of the initial block A. The monomers from which block A and block B are formed may comprise the same component but in different  
30 ratios. More often they comprise different monomers, although they may include common comonomers. A second block, for instance added to a block A formed from zwitterionic monomer, may comprise ionic monomer or

nonionic monomer. Nonionic monomer may be selected so as to confer hydrophobicity or control the hydrophilicity of the block copolymer. Suitable monomers used in a second living polymerisation step may include monomers of the general formula V, defined above. Comonomers may be selected to confer biodegradability upon the block copolymers.

A block copolymer of the A-B-A type may be produced where the initiator of a first step living polymerisation was difunctional, generating a single block B having two terminal groups  $X^2$  (and a unit derived from the initiator partway along the backbone). In the second living polymerisation step, blocks of A will be added at each end of the initial polymer product. Alternatively, block copolymers having a star type architecture may be generated starting from a multifunctional initiator in the first step producing a star intermediate having, at each terminal, a  $X^2$  group, from which the second step polymerisation propagates.

According to a second aspect of the invention there is provided a block copolymer of the A-B or A-B-A type in which A and B are the same or, preferably, different, in which at least one of the A and B is formed from ethylenically unsaturated monomer including a zwitterionic monomer of the general formula VI

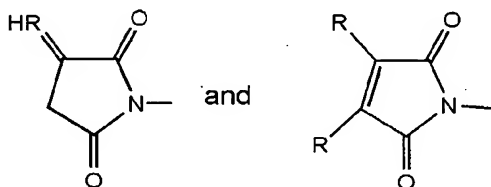
20

Y B  $X^1$ 

VI

in which Y is an ethylenically unsaturated group selected from  $H_2C=CR-CO-A$ ,  $H_2C=CR-C_6H_4-A^1$ ,  $H_2C=CR-CH_2A^2$ ,  $R^2O-CO-CR=CR-CO-O$ ,  $RCH=CH-CO-O$ ,  $RCH=C(COOR^2)CH_2-CO-O$ ,

25



A is -O- or  $NR^1$ ;

30

$A^1$  is selected from a bond,  $(CH_2)_nA^2$  and  $(CH_2)_nSO_3^-$  in which n is 1 to 12;

A<sup>2</sup> is selected from a bond -O-, O-CO-, CO-O, CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO, O-CO-NR<sup>1</sup>-, NR<sup>1</sup>-CO-O-;

R is hydrogen or C<sub>1-4</sub> alkyl;

R<sup>1</sup> is hydrogen, C<sub>1-4</sub> alkyl or BX;

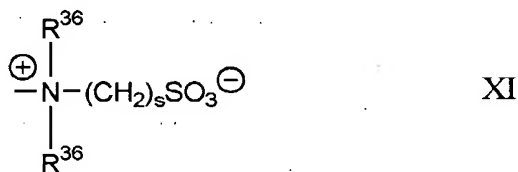
5 R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents; and

X<sup>1</sup> is a zwitterionic group.

10 In this aspect of the invention, the zwitterionic group X<sup>1</sup> may be a group X as defined in the first aspect of the invention. Alternatively it may be a zwitterion in which the anion comprises a sulphate, sulphonate or carboxylate group.

One class of zwitterions are sulphobetaines groups, for instance of  
15 the general formula XI.

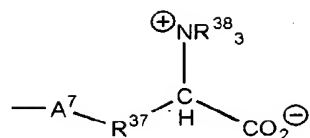


20 where the groups R<sup>36</sup> are the same or different and each is hydrogen or C<sub>1-4</sub> alkyl and s is from 2 to 4.

Preferably the groups R<sup>36</sup> are the same. It is also preferable that at least one of the groups R<sup>36</sup> is methyl, and more preferable that the groups R<sup>36</sup> are both methyl.

25 Preferably s is 2 or 3, more preferably 3.

Alternatively the zwitterionic group may be an amino acid moiety in which the alpha carbon atom (to which an amine group and the carboxylic acid group are attached) is joined through a linker group to the backbone of the biocompatible polymer. Such groups may be represented by the general  
30 formula XII



XII

5 in which A<sup>7</sup> is a valence bond, -O-, -S- or -NH-, preferably -O-,  
 R<sup>37</sup> is a valence bond (optionally together with A<sup>7</sup>) or alkanediyl, -  
 C(O)alkylene- or -C(O)NHalkylene, preferably alkanediyl and preferably  
 containing from 1 to 6 carbon atoms; and

the groups R<sup>38</sup> are the same or different and each is hydrogen or alkyl  
 10 of 1 to 4 carbon atoms, preferably methyl, or two or three of the groups R<sup>38</sup>,  
 together with the nitrogen to which they are attached, form a heterocyclic  
 ring of from 5 to 7 atoms, or the three group R<sup>38</sup> together with the nitrogen  
 atom to which they are attached form a fused ring heterocyclic structure  
 containing from 5 to 7 atoms in each ring.

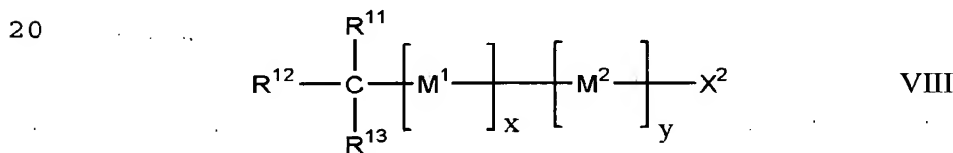
15 Alternatively the zwitterion may be a carboxy betaine -  
 N<sup>+</sup>(R<sup>39</sup>)<sub>2</sub>(CH<sub>2</sub>)<sub>r</sub>COO<sup>-</sup> in which the R<sup>39</sup> groups are the same or different and  
 each is hydrogen or R<sub>1-4</sub> alkyl and r is 2 to 6, preferably 2 or 3.

In this aspect of the invention it may either be the first formed block  
 which comprises zwitterionic monomer, or the second formed block. It is  
 20 preferred that either or both blocks are formed by living polymerisation  
 techniques, that is that the population of polymers should have a low spread  
 of block sizes, and of overall polydispersity of weight. Preferably both blocks  
 are formed by atom or group transfer radical polymerisation. At least one of  
 the steps of a two step process is that a polymerisation process according to  
 25 the first aspect of the invention, namely the step in which the ethylenically  
 unsaturated monomers include zwitterionic monomer. In a two step  
 polymerisation both being carried out by atoms or group radical transfer, the  
 group or atom transferred, as the case may be, will be the same in the two  
 step. The transferable group which is the terminal group of the polymer  
 30 product of the first step is transferred to a transition metal compound in the  
 initiation of the second step. Generally it is convenient to use the same  
 catalyst. In some circumstances, however, it may be necessary to use a

different catalyst, for instance a different transition metal compound or ligand, or both, if the environment in the second step of the polymerisation is very different from that of the first step. For instance where the monomers in the second step require selection of a different solvent to solubilise the components, a different catalyst may be selected. Accordingly it may be necessary to isolate the polymer intermediate of step one from the catalyst, prior to providing the reaction mixture for step two. Suitable separation methods involve, for instance, chromatographic techniques such as gel permeation or precipitation etc. Preferably, however, the product of step one, in its entirety, forms part of the reaction mixture for step two.

The intermediate polymer produced by the process of the first aspect of the invention may be a useful commercial product in its own right, for instance having utility as an initiator for group or atom transfer radical polymerisations. Alternatively the terminating groups derived from the initiator may be subjected to derivatisation reactions to introduce useful functionalities such as ionic groups and/or ethylenically unsaturated groups.

According to a further aspect of the invention there is provided a novel polymer of the formula VIII



in which  $\text{X}^2$  is selected from the group consisting of Cl, Br, I,  $\text{OR}^{10}$ ,  $\text{SR}^{14}$ ,  $\text{SeR}^{14}$ ,  $\text{OP}(=\text{O})\text{R}^{14}$ ,  $\text{OP}(=\text{O})(\text{OR}^{14})_2$ ,  $\text{O}-\text{N}(\text{R}^{14})_2$  and  $\text{S}-\text{C}(=\text{S})\text{N}(\text{R}^{14})_2$ , where  $\text{R}^{10}$  is alkyl of from 1 to 20 carbon atoms in which each of the hydrogen atoms may be independently replaced by halide,  $\text{R}^{14}$  is aryl or a straight or branched  $\text{C}_1$ - $\text{C}_{20}$  alkyl group, and where an  $\text{N}(\text{R}^{14})_2$  group is present, the two  $\text{R}^{14}$  groups may be joined to form a 5- or 6-membered heterocyclic ring; and

$\text{R}^{11}$ ,  $\text{R}^{12}$  and  $\text{R}^{13}$  are each independently selected from the group consisting of H, halogen,  $\text{C}_1$ - $\text{C}_{20}$  alkyl,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}(=\text{O})\text{R}^{15}$ ,  $\text{C}(=\text{O})\text{NR}^{16}\text{R}^{17}$ ,  $\text{COCl}$ ,  $\text{OH}$ ,  $\text{CN}$ ,  $\text{C}_2$ - $\text{C}_{20}$  alkenyl,  $\text{C}_2$ - $\text{C}_{20}$  alkenyl oxiranyl,

glycidyl, aryl, heterocyclyl, aralkyl, aralkenyl, C<sub>1</sub>-C<sub>6</sub> alkyl in which from 1 to all of the hydrogen atoms are replaced with halogen, and C<sub>1</sub>-C<sub>6</sub> alkyl substituted with from 1 to 3 substituents selected from the group consisting of C<sub>1</sub>-C<sub>4</sub> alkoxy, aryl, heterocyclyl, C(=O)R<sup>15</sup>, C(=R)NR<sup>16</sup>R<sup>17</sup>, -CR<sup>12</sup>R<sup>13</sup>X<sup>2</sup>,  
 5 oxiranyl and glycidyl;

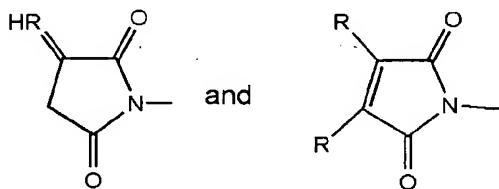
where R<sup>15</sup> is alkyl of from 1 to 20 carbon atoms, alkoxy of from 1 to 20 carbon atoms, oligo(alkoxy) in which each alkoxy group has 1 to 5 carbon atoms, aryloxy or heterocyclyloxy any of which groups may have substituents selected from optionally substituted alkoxy, oligoalkoxy, amino  
 10 (including mono- and di-alkyl amino and trialkyl ammonium, which alkyl groups, in turn may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy) and hydroxyl groups; and R<sup>16</sup> and R<sup>17</sup> are independently H or alkyl of from 1 to 20 carbon atoms which alkyl groups, in turn may have substituents selected from acyl, alkoxycarbonyl,  
 15 alkenoxycarbonyl, aryl and hydroxy, or R<sup>16</sup> and R<sup>17</sup> may be joined together to form an alkylene group of from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring;

such that not more than two of R<sup>11</sup>, R<sup>12</sup> and R<sup>13</sup> are H;

M<sup>1</sup> is the residue of a zwitterionic monomer of the general formula I  
 20



in which Y is an ethylenically unsaturated group selected from H<sub>2</sub>C=CR-CO-A-, H<sub>2</sub>C=CR-C<sub>6</sub>H<sub>4</sub>-A<sup>1</sup>-, H<sub>2</sub>C=CR-CH<sub>2</sub>-A<sup>2</sup>-, R<sup>2</sup>O-CO-CR=CR-CO-O-, RCH=CH-CO-O-, RCH=C(COOR<sup>2</sup>)CH<sub>2</sub>-CO-O-,



30 A is -O- or NR<sup>1</sup>;

A<sup>1</sup> is selected from a bond, (CH<sub>2</sub>)<sub>n</sub>A<sup>2</sup> and (CH<sub>2</sub>)<sub>n</sub> SO<sub>3</sub><sup>-</sup> in which n is 1 to 12;

A<sup>2</sup> is selected from a bond -O-, O-CO-, CO-O, CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO, O-CO-NR<sup>1</sup>-, NR<sup>1</sup>-CO-O-;

R is hydrogen or C<sub>1-4</sub> alkyl;

R<sup>1</sup> is hydrogen, C<sub>1-4</sub> alkyl or BX;

5 R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents;

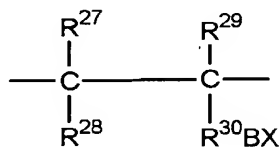
10 X is an ammonium, phosphonium, or sulphonium phosphate or phosphonate ester zwitterionic group;

x is 2 to 500;

M<sup>2</sup> is the residue of an ethylenically unsaturated comonomer polymerisable with the zwitterionic monomer; and

y is 0 to 500.

15 In a preferred polymer of this aspect in the general formula VIII M<sup>1</sup> preferably has the formula IX



IX

20

in which R<sup>27</sup> is selected from hydrogen C<sub>1-4</sub> alkyl and groups CO OR<sup>2</sup> in which R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

R<sup>28</sup> is selected from hydrogen and C<sub>1-4</sub> alkyl;

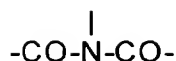
R<sup>29</sup> is selected from hydrogen, C<sub>1-4</sub> alkyl and groups CO OR<sup>2</sup>,

25 provided that R<sup>27</sup> and R<sup>29</sup> are not both CO OR<sup>2</sup>;

R<sup>30</sup> is selected from a bond, a group CH<sub>2</sub>A<sup>2</sup>, in which A<sup>2</sup> is selected from a bond, -O-, -O-CO-, -CO-O-, -CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO-, -O-CO-NR<sup>1</sup>- and -NR<sup>1</sup>-CO-O, a group -COA- in which A is -O- or NR<sup>1</sup>, in which R<sup>1</sup> is hydrogen or C<sub>1-4</sub> alkyl or BX, and a group -C<sub>6</sub>H<sub>4</sub>-A<sup>1</sup>- in which A<sup>1</sup> is (CH<sub>2</sub>)<sub>n</sub>A<sup>2</sup>, a bond or (CH<sub>2</sub>)<sub>n</sub>SO<sub>3</sub>,

30

or R<sup>30</sup> and R<sup>28</sup> or R<sup>30</sup> and R<sup>21</sup> may be joined to form a group



where the N atom is joined to B;

and B and X are as defined above.

5 In the polymer of the general formula VIII,  $M^2$  is preferably the residue of a monomer of the general formula X as described above. The group  $M^2$ , derived from such a monomer will have the general formula

$-\text{C}(\text{R}^{31})(\text{R}^{32}-\text{C}(\text{R}^{33})\text{R}^{34})$ , in which  $\text{R}^{31}$  through  $\text{R}^{34}$  have the same meanings as in the general formula X.

10 In the polymer of the general formula X,  $\text{R}^{11}$ ,  $\text{R}^{12}$  and  $\text{R}^{13}$  as well  $\text{X}^2$  have the preferred meanings ascribed to the respective groups in the initiator described in relation to the first aspect of the invention above. Thus  $\text{X}^2$  is preferably halogen, more preferably Cl or Br. Preferably no more than one of  $\text{R}^{11}$ ,  $\text{R}^{12}$  and  $\text{R}^{13}$  is hydrogen, more preferably none is hydrogen.

15 In the general formula VIII the residues of  $M^2$  are randomly dispersed among the residues of  $M^1$ .

In the invention, the controlled molecular weight, composition and architectures of these various product polymers bring about desirable levels of control in the properties of the polymers. For instance, the provision of  
20 blocks of substantially homopolymer of units derived from zwitterionic monomer are believed to confer desirable wettability and/or lubricity. In AB block copolymers or polymers formed using oligomeric initiators such as based on oligo(alkylene oxides), the provision of long blocks of hydrophobic units are likely to form discrete domains. The domains of highly hydrophilic  
25 and highly hydrophobic composition may be useful for controlling polymer properties, such as interactions with other components in a desired system, especially for the absorption and controlled delivery of drugs or other active compounds, and for providing spontaneously self-assembling structures such as coatings, or vesicles or micelles having domains of hydrophilic and  
30 domains of hydrophobic nature. These may be useful for controlling absorption and release or solubility of biologically active compounds such as



pharmaceuticals. Vesicles may form, with dehydrated or hydrophobic layers or cores and zwitterionic exteriors in aqueous environments. The external zwitterionic layer should confer good biocompatibility, for instance resistance to phagocytosis when administered *in vivo*. This may be a useful drug delivery device, therefore.

The invention is illustrated further in the accompanying examples, and figures.

Figures 1 and 2 are plots of the reaction kinetics of Example 1;

Figure 3 is the  $^1\text{H}$  NMR spectrum of the polymer produced in Example

1;

Figures 4 and 5 are plots of the reaction kinetics of Example 3;

Figures 6 and 7 are  $^1\text{H}$  NMR spectra of the block copolymer produced in Example 4 at pH 9 and 3, respectively;

Figure 8 is a  $^1\text{H}$  NMR spectrum of the block copolymer produced in Example 24 at two pH's as described in Example 30;

Figure 9 shows the  $^1\text{H}$  NMR spectrum and chemical formulae for the initiator, monomer and polymer of Example 31;

Figure 10 shows the  $^1\text{H}$  NMR during the polymerisation process of Example 31;

Figure 11 indicates drug loading levels in Example 58; and

Figure 12 indicates drug release levels in Example 58.

#### **Example 1: Homopolymerisation of MPC (1) via ATRP in Water.**

With reference to Figure 1, a typical protocol for the controlled polymerisation of **1** by aqueous ATRP is as follows. A water-soluble ATRP initiator (OEGBr, 413 mg, 0.67 mmol, 1 equiv.) was synthesised as reported previously E. J. Ashford, V. Naldi, R. O'Dell, N. C. Billingham and S. P. Armes, *Chem. Commun.*, 1285, 1999 and dissolved in doubly-distilled, de-ionised water (10 ml). After purging with nitrogen for 30 min. Cu(I)Br catalyst (96 mg, 0.67 mmol, 1 equiv.) and bipyridine (bpy) ligand (208 mg, 0.13 mmol, 2 equiv.) were added to the stirred solution under a flow of nitrogen. Monomer **1** (2 g, 6.7 mmol, 10 equiv.) was then added as a solid to the

reaction mixture under nitrogen. The reaction mixture immediately became dark green and progressively more viscous. Exotherms of 2-4 °C were typically observed, indicating that polymerisation was occurring. After the reaction was complete the resulting homopolymer **1** was precipitated from THF, then redissolved in water and passed through a silica column to remove residual ATRP catalyst.

Further polymerisations were conducted at different concentrations and ratios of monomer and initiator. In all cases the ATRP of **1** in water was rapid: exotherms of around 3 °C were observed and near-quantitative yields (> 96 %) were obtained within 10 minutes at 20 °C and 17 wt.% monomer concentration. At higher monomer concentration (40 wt.%) yields of more than 96 % were obtained within 3 minutes. However, polydispersities were a little higher at 1.23 – 1.45, indicating some loss of control under these conditions.

The semi-logarithmic kinetic plot for the homopolymerisation of **1** Conditions: [monomer] = 17 wt. %, [initiator] = 24 mM; pH 7; the molar ratio of monomer: initiator: copper(I): bpy was 28: 1: 1: 2, 20°C.) is linear for the first 50 % of the polymerisation (see Figure 1). At higher conversions non-linear behaviour is observed, indicating that the polymer radical concentration is no longer constant. On the other hand, the evolution of molecular weight with conversion is highly linear up to 95 % conversion (see Figure 2).

Molecular weight distributions were assessed using GPC (1.0 M NaCl solution with 50 mM Trisma buffer, Superdex 200 column, PEO standards, RI detector). The kinetics of polymerisation were monitored by <sup>1</sup>H NMR spectroscopy by comparing the peak integrals due to the monomer vinyl signals (at  $\delta$  5.5 and 5.9) to those of the methacrylate backbone (at  $\delta$  0.5 to 1.1) (see Figure 3).

GPC analysis indicates narrow, unimodal molecular weight distributions, with polydispersities ( $M_w/M_n$ ) of around 1.1 to 1.45 (see Table 1). The initiator was used as an 'end-group' to determine the degrees of polymerisation of the homopolymers by <sup>1</sup>H NMR spectroscopy (see Table 1). In these calculations it is assumed that the initiator efficiency is 100 %, chain

transfer is negligible and that every polymer chain contains an oligo(ethylene glycol) fragment. The latter assumption was confirmed by the following experiment. An aqueous solution of poly1 (degree of polymerisation = 20) was precipitated into THF, which is a good solvent for the oligo(ethylene glycol)-based initiator. No change in the degree of polymerisation of the precipitated homopolymer was detected by NMR, which confirmed that all of the initiator groups were covalently attached to the polymer chains, as expected. For this example only relatively low degrees of polymerisation were targeted, partly because of the known exclusion limits of our GPC column.

**Example 2: Homopolymerisation of MPC *via* ATRP in Methanol.**

The feasibility of polymerising 1 in methanol at 20 °C was also investigated. Well-controlled ATRP occurred much more slowly under these conditions, with conversions of only 70 % after 4h. Aqueous GPC analysis indicated a final polydispersity of 1.12 at a monomer concentration of 17 wt. %. However, ATRP in aqueous media is preferred since very high yields are achieved much more efficiently (Table 1).

Table 1: Summary of synthesis conditions, molecular weight data and conversions for the homopolymerisation of the phosphorylcholine-based monomer (1) via ATRP at 20 °C in either water or methanol.

Solvent	[Monomer] (wt. %)	[Initiator] (mM)	TheoreticalDp	Experimental Dp <sup>a</sup>	Experimental M <sub>n</sub> <sup>b</sup>	M <sub>w</sub> /M <sub>n</sub> <sup>b</sup>	Conversion (%)
H <sub>2</sub> O	17	0	c	c	c	c	53 <sup>c</sup>
H <sub>2</sub> O	17	67	10	11	4,710	1.18	>96
H <sub>2</sub> O	17	40	17	18	6,900	1.28	>96
H <sub>2</sub> O	40	222	10	10	4,230	1.23	>96
H <sub>2</sub> O	40	111	20	20	7,550	1.39	>96
H <sub>2</sub> O	40	73	30	29	10,720	1.45	>96
MeOH	17	67	10	11	3,800	1.12	>96
MeOH	40	73	30	29	8,640	1.41	>96

<sup>a</sup> From <sup>1</sup>H NMR spectroscopy (see text for details).

<sup>b</sup> From aqueous GPC analysis.

<sup>c</sup> This was a spontaneous polymerisation with no initiator, therefore a theoretical Dp could not be calculated. The conversion stated was measured at 5h. Aqueous GPC column limits were exceeded, rendering quantitative analysis meaningless.

**Example 3: Homopolymerization of MPC in H<sub>2</sub>O via ATRP using methanol as a co-solvent.**

A two-neck round-bottom 100 ml flask was charged with OEG-Br, CuCl and bipy. Water (8.0 ml) was added and the mixture was stirred until a homogeneous solution was obtained. Monomer **1** (2.0 g) was dissolved in degassed methanol (2.0 ml), added to the reaction solution and the flask was sealed with a rubber septum. The reaction mixture was maintained under dry nitrogen for the duration of the polymerisation. The molar ratio of [1]:[CuCl]:[bipy]:[initiator] used in this case was 20:1:2:1, i.e. the target Dp was 20.

A plot of  $\ln([M]_0/[M])$  against time homopolymerisation of HEMA-PC at 20°C.  $[HEMA-PC]_0 = 0.67$  M;  $[OEG_{350}-Br]_0 = 0.033$  M;  $[CuCl]_0 = 0.033$  M;  $[bipy]_0 = 0.066$  M; H<sub>2</sub>O = 8.0 ml, MeOH = 2.0 ml was linear and passed through the origin, demonstrating that the radical concentration remained constant on the time-scale of the polymerisation, see Figure 4. The experimental  $M_n$  values obtained from <sup>1</sup>H NMR agreed well with the theoretical line, see Figure 5 (same conditions). Polydispersities remained low throughout the polymerisation ( $M_w/M_n \leq 1.3$ ) which is indicative of a living polymerisation. In summary, methanol can be used as a co-solvent for **1** which enables this monomer to be conveniently handled as a solution, rather than as a solid. If the methanol content is relatively low (in this case 20 vol %) there appears to be no detrimental effect on the rate of polymerisation.

Table 2: Kinetic data for the homopolymerisation of HEMA-PC via ATRP in 20:80 methanol/water mixture at 20 °C. The conditions were: [HEMA-PC] = 0.67 M, [OEG-Br] = 0.033 M, [CuCl] = 0.033 M, [bipy] = 0.066 M, H<sub>2</sub>O = 8.0 ml, MeOH = 2.0 ml.

No.	Time ( min. )	Conv ( % )	Mn ( theory )	Mn ( NMR )	Mw / Mn
1	0.5	4	259	291	1.14
2	1	8	466	437	1.17
3	2	13	768	728	1.19
4	5	35	2060	1770	1.21
5	10	61	3590	3160	1.22
6	20	86	5070	4410	1.26
7	35	99	5810	5180	1.31

**Example 4: AB-Diblock MPC-NaVBA Copolymer Formation via ATRP.**

Diblock copolymers based on **1** can also be synthesised via aqueous ATRP. For example, sodium 4-vinylbenzoate (NaVBA) (0.5 g, 3.4 mmol) was homopolymerised ([NaVBA]=13 wt.%, [I]=20 mM, D<sub>p</sub>=46) to high yield (> 90 %) in water (3.5 ml) at pH 11 and 20 °C using OEGBr as initiator, as described previously X. S. Wang, R. A. Jackson and S. P. Armes, *Macromolecules*, 2000, **33**, 255.<sup>13</sup> At this point monomer **1** (1.0 g, 3.4 mmol) was added as a solid to this reaction solution ([**1**]=22 wt.%, [I]=20 mM, theoretical D<sub>p</sub>=46) to form a diblock copolymer.

<sup>1</sup>H NMR studies indicated that the block copolymer comprised approximately 55 mol % NaVBA, theoretical D<sub>p</sub>(NaVBA)=46, D<sub>p</sub>(**1**)=37, see Figure 6. This block copolymer dissolved molecularly in water at pH 7 but formed micellar aggregates reversibly on addition of acid (pH 3), see Figure 7. Dynamic light scattering studies indicated a bimodal size distribution, with the larger population having an intensity-average micelle diameter of 190 nm. <sup>1</sup>H NMR studies of these micelles in DCI/D<sub>2</sub>O mixtures confirmed that the 4-vinylbenzoic acid residues formed the dehydrated micelle cores and the phosphorylcholine-based residues formed the micelle coronas, as expected. Such micelles might be expected to act as 'stealth' nanoparticles for *in vivo* biomedical applications, since the phosphorylcholine outer layer should

minimise protein adsorption and hence prevent phagocytosis.

**Example 5: AB-Diblock MPC-HEMA Copolymer Formation via ATRP.**

1 (2.0 g, 6.7 mmol) was polymerised in water (10 ml) as described for  
5 the homopolymerisation ([1]=17 wt.%, [I]=34 mM, theoretical  $D_p=20$ ), but after  
12 min (98% conversion) a degassed solution of HEMA (2-hydroxyethyl  
methacrylate) (0.88 g, 6.7 mmol) in methanol (5 ml) was added to give a  
reaction solution composition of 67:33 water:methanol ([HEMA]=6 wt.%,  
[I]=23 mM, theoretical  $D_p=20$ ). 1 h after the addition of the second monomer  
10 the overall conversion for the polymerisation had reached over 98%. The  
actual degree of polymerisation was 19 for each block ((1), HEMA) as judged  
by  $^1\text{H}$  NMR spectroscopy.

**Example 6: AB-Diblock MPC-HEMA Copolymer Formation via ATRP**

15 **Directly in a  $\text{H}_2\text{O}$ :MeOH Solvent Mixture.**

Block copolymerisation of 1 with HEMA. 1 (4.1 g,  $1.35 \times 10^{-2}$  mol) was  
polymerised first in 10.0 ml of a 50/50 vol/vol methanol/water mixture such that  
the molar ratios of [1]:[OEG-Br]:[CuCl]:[bipy] were 10:1:1:2. After 150 min, the  
monomer conversion was 100 %, and the homopolymer obtained had a low  
20 polydispersity ( $M_w / M_n = 1.19$ ) with an  $M_n$  of 3,000. HEMA (3.54 g,  $2.7 \times 10^{-2}$   
mol, target  $D_p = 20$ ), was then added to the polymerising aqueous solution.  
After 24 h, a diblock copolymer was obtained with essentially 100 % monomer  
conversion. The copolymer  $M_n$  was calculated by end-group analysis using  $^1\text{H}$   
NMR. GPC analysis was not possible in this case because the copolymer  
25 formed micelles in water.

**Example 7: AB-Diblock MPC-OEGMA Copolymer Formation via ATRP.**

Another block copolymer was prepared in a similar fashion to Example  
4, first 1 (2.0 g, 6.7 mmol) was polymerized in water (10 ml) as described  
30 previously ([1]=17 wt.%, [I]=34 mM, theoretical  $D_p=20$ ) and at 11 min (97%  
conversion) a degassed solution of OEGMA (oligoethylene glycol methacrylate)

(2.87 g, 6.7 mmol) in water (2 ml) was added ([OEGMA]=19 wt.%, [I]=28 mM, theoretical  $D_p=20$ ). 20 min after the addition of the second monomer  $^1\text{H}$  NMR spectroscopy was used to calculate an overall conversion of more than 98% for the diblock copolymer and a degree of polymerisation of 20 for each block.

5 Aqueous GPC analysis gave an  $M_n$  of 8,750 and an  $M_w/M_n$  of 1.30 for homopolymer (1) and an  $M_n$  of 12,900 and an  $M_w/M_n$  of 1.34 for the diblock.

**Example 8: AB-Diblock OEGMA-MPC Copolymer Formation via ATRP.**

OEGMA (5.03 g,  $1.2 \times 10^{-2}$  mol) was polymerised first in water (10 ml) under the following conditions: [OEGMA]:[OEG-Br]:[CuCl]:[bipy] = 20:1:1:2; target  $D_p = 20$ . After 20 min, the monomer conversion reached 100 % with  $M_n = 8,600$  and  $M_w/M_n = 1.19$ , as judged by aqueous GPC. 1 was then added as a solid (3.56 g,  $1.2 \times 10^{-2}$  mol; target  $D_p = 20$ ) to the polymerising OEGMA solution. Essentially 100 % monomer conversion was achieved after 60 min, as indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds). After clean-up and isolation, a diblock copolymer ( $M_n = 15,000$ ) was obtained with a relatively low polydispersity ( $M_w/M_n \sim 1.4$ ).

**Example 9: AB-Diblock MPC-DMAPS Copolymer Formation via ATRP.**

20 1 can also be block copolymerised with DMAPS ([2-(Methacryloyloxy)ethyl] dimethyl (3-sulfopropyl) ammonium hydroxide). 1 (4.0 g,  $1.35 \times 10^{-2}$  mol) was homopolymerised first in water (10 ml); [1]:[OEG-Br]:[CuCl]:[bipy] = 20:1:1:2, target  $D_p = 20$ . After 120 min, the monomer conversion was 100%, and the homopolymer obtained ( $M_n = 6,200$ ) had a low polydispersity ( $M_w / M_n = 1.20$ ). DMAPS monomer (3.8 g,  $1.35 \times 10^{-2}$  mol, target  $D_p = 20$ ) was then added to the polymerising aqueous solution. After 21 h, a block copolymer with an  $M_n$  of 12,000 and a polydispersity of 1.27 was obtained.

**Examples 10-15: AB-diblock Copolymer Formulation with other Comonomers**

30 MPC was further polymerised using the general technique for AB block copolymers with the conditions indicated in Table 5 and with monomers of



varying hydrophobicity. The comonomer type, proportion and intended degree of polymerisation is shown in the table. The extent of conversion after the specified reaction time as well as the measured number average molecular weight (by NMR) are also shown in the table.

5

**Table 3 - Synthesis of MPC based diblock copolymers via statistical polymerisation**

ATRP [MPC] = 0.67M, [OEG-Br] = 0.067M, [CuBr] = 0.067M, [bipy] = 0.135M, MeOH = 10ml, T = 20°C

Example #	Comonomer	MPC in copolymer (mol%)	Target Dp	Time (h)	Conversion (%)	Mn (cal)	Mn (NMR)
10	HEMA	10	10:90	6	>99	15000	13000
11	nBuMA	10	10:90	7	>99	16000	15000
12	nBuMA	17	10:50	5	>99	10000	8500
13	HPMA	10	10:90	23	>99	16000	14000
14	HPMA	17	10:50	21	>99	10000	9500
15	DHPMA	33	10:20	72	>99	6200	6000

nBuMA = n-Butyl methacrylate

HPMA = Hydroxypropyl methacrylate

DHPMA = Dihydroxypropyl methacrylate

#### **Examples 16 to 18: Further AB Block Synthesis in Water/Methanol Mixtures**

Further examples of AB block copolymerisations of MPC as block A were conducted using the ATRP method and under the general conditions indicated in the heading to Table 4. The comonomers for the second block and the relative levels, as well the solvent type the reaction times, the product and intermediate homopolymer characteristic and some of the polydispersities are also shown in Table 4.

**Table 4 - Synthesis of MPC based diblock copolymers via ATRP**

[MPC] = 0.67M, [OEG-Br]:[CuCl]:[bipy] = 1:1:2, T = 20°C; MPC polymerised first in all cases

comonomer	MPC in copolymer (mol%)	Target Dp	Solvent	Time for 100% Conversion		Mn (AGPC)		Mw / Mn	
				MPC homopolymer (mins)	Diblock copolymer (h)	MPC homopolymer	Diblock copolymer	MPC homopolymer	Diblock copolymer
DHPMA (Ex 16)	33	10:20	MeOH : H <sub>2</sub> O (50 : 50)	105	24	2900	-	1.18	1.43
HEMA (Ex 17)	33	10:20	MeOH : H <sub>2</sub> O (50 : 50)	150	24	3000	-	1.19	-
DMAEMA (Ex 18)	50	20:20	H <sub>2</sub> O	100	20	5900	9000	1.20	1.42

DMAEMA = Dimethylaminoethyl methacrylate

**Example 19: ABC Triblock copolymer of MPC and HEMA**

A triblock copolymer was synthesised with MPC forming the first (A) and third (C) homopolymer blocks, using OEGBr as the initiator in a 50:50 water: methanol solvent. MPC was used in an amount to give a target Dp for each block of 10. The monomer used to make homopolymer block B was 2-hydroxy ethylmethacrylate which was used in an amount to give a target Dp of 20. It was found that about 100% conversion for the first block occurred in 1.5 hours, for the second in about 2.5 hours and for the third in about 18.5 hours. The calculated Mn for A, AB and ABC were respectively, 3000, 5600 and 8500, whilst the measured Mn values (by NMR) were 2900, 5500 and 8420.

**Examples 20 and 21: Oligomeric Difunctional Initiator**

A difunctional inhibitor, a polypropylene oxide having two terminal bromine substituents (MW about 2000), was used to form two polymers, each by carrying out a single step ATRP process with a single monomer, that is MPC (1), and using methanol as the solvent. The process was conducted using 0.67M 1, 0.067 M CuCl transition metal compound, 0.135 M bipyridine and sufficient initiator to provide a polymer with a degree of polymerisation of each block of MPC polymer of 10, for example 20, and a degree of polymerisation of 20 for examples 21. The calculated Mn for the two examples were 7940 and 13900, respectively. The time for about 100% conversions were, respectively 1.5 and 2 hours, whilst the measured values of Mn were 7520 and 11600, respectively.

**Examples 22-29**

Table 6 describes the conditions and results for the synthesis of a variety of MPC-DMAEMA and MPC-DEAEMA diblock copolymers by methanolic ATRP. The reaction conditions were [MPC] = 2.02M (6.0g in 10ml methanol), [MPC]:[OEG-Br]:[CuBr]:[bipy] = 30:1:1:2, T = 20°C; MPC was polymerised first in all cases followed by neat DMAEMA (or DEAEMA). Almost complete monomer conversion was achieved after the time indicated in Table 6 for the diblock, as

indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds). The reaction mixture was diluted with methanol and passed through a silica column to remove residual ATRP catalyst. After solvent evaporation, the products were dried under vacuum at room temperature.

Examples 22-29: Table 6: Data of the polymerization of MPC – DMAEMA or DEAEMA diblock copolymers in methanol

Ex #	Comonomer	MPC in copolymer (mol %)	TargetDp	[Amine] (M)	Time for > 99% Conversion		Mn (AGPC)		Mw / Mn	
					MPC HOMO (mins)	MPC Diblock (h)	MPC(a) HOMO	MPC(b) Diblock	MPC HOMO	MPC Diblock
22	DEA	50	20:20	1.35	180	20	6200	14000	1.15	1.22
23	DEA	33	10:20	1.35	180	21	3500	11000	1.17	1.29
24	DEA	50	30:30	2.02	130	20	10000	21000	1.18	1.30
25	DEA	33	30:60	4.04	130	22	11000	31000	1.19	1.29
26	DEA	23	30:100	6.73	130	23	11000	43000	1.19	1.28
27	DMA	50	30:30	2.02	120	20	11000	22000	1.16	1.27
28	DMA	33	30:60	4.04	120	24	10000	34000	1.15	1.29
29	DMA	23	30:100	6.73	120	48	11000	46000	1.18	1.32

DMA = dimethylaminoethylmethacrylate  
DEA = diethylaminoethylmethacrylate  
AGPC = aqueous gel permeation chromatography

**Example 30: Reversible pH-Induced Micellisation for Polymer Example 24.**

Micelles with DEAEMA cores were obtained by careful adjustment of the solution pH. The MPC-DEAEMA diblock copolymers dissolved in dilute DCI or NaOD at 20 °C to produce a 1.0 w/v % copolymer solution with a final pH of 1.37 and 8.68 respectively. Fig. 8 shows the proton NMR spectra obtained for the MPC - DEAEMA diblock copolymer at pH 1.37 and 8.68 respectively.

Careful addition of dilute DCI to the MPC-DEAEMA diblock copolymer solution produced a final pH of 1.37. Thus this copolymer dissolved molecularly in dilute aqueous solution at pH 1.37 and 20 °C, since both the MPC and DEAEMA blocks are hydrophilic under these conditions. It can be characterised by proton NMR spectra in Fig. 8a, in where the resonance at  $\delta$  1.25 and  $\delta$  3.4 ppm that was assigned to the residual protons of DEA are presented.

Comparing Figure 8, it is clear that the signals due to the DEAEMA residues at  $\delta$  1.25 and  $\delta$  3.4 ppm have almost disappeared, indicating much lower mobility and decreased solvation for this block. On the other hand, the signals due to the MPC block at  $\delta$  4.0 and  $\delta$  3.5 ppm are still prominent, indicating that this block forms the solvated micellar corona. Micelles comprising DEAEMA cores and MPC corona were formed as expected at pH 8.68 or higher.

Self-assembly was completely reversible: addition of acid resulted in instantaneous micellar dissolution.

**Example 31: MPC-Based Macromonomer by (aq) ATRP using a Functional Initiator.**

A two-neck round-bottom 100 ml flask was charged with vinyl functional initiator 1 (shown in Figure 9), Cu(I)Br and bipy. Water (10.0 ml) was added and the mixture was stirred until a homogeneous solution was

obtained. MPC monomer (2.0 g) was added to the reaction solution and the flask was sealed with a rubber septum. The reaction mixture was maintained under dry nitrogen and at 20 °C for the duration of the polymerisation. The molar ratio of [MPC]:[initiator]:[CuBr]:[bipy] used in this case was 10:1:1:2, i.e. the target Dp was 10.

**Table 7 indicates the Mn and Mw values established for the reaction mixture after various reaction periods and at completion of polymerisation**

No	Time ( min. )	Conv. ( % )	Mn ( theory )	Mn ( NMR )	Mw / Mn
1	1	25	770	730	1.22
2	2	33	1000	960	1.23
3	5	39	1200	1100	1.23
4	10	54	1700	1600	1.24
5	30	81	2500	2100	1.25
6	60	86	2900	2400	1.26
7	120	99	3100	2500	1.27

Fig. 9 shows the  $^1\text{H}$  NMR spectra of the initiator, monomer used in the project and the polymer obtained here. As can be seen from Fig. 10 which shows the  $^1\text{H}$ nmr spectra during polymerisation, the area of the polymer peak at  $\delta 1$  ppm increased gradually with time while the peak area due to the monomer vinyl signals at  $\delta 5.5 - 6.0$  ppm decreased with time. The initiator's vinyl acetate peaks at  $\delta 5$  and  $\delta 4.75$  ppm due to  $\text{CH}_2=$  and the peaks at  $\delta 7.1$  ppm correspond to  $=\text{CH}-\text{O}-$  remained through the polymerization process.

The aqueous GPC traces of the final polymer and intermediate polymer obtained indicate the peaks corresponding to the polyMPC and the peaks from residual MPC monomer. The monomer peak disappeared when monomer conversion reached over 99 per cent, suggestion the complete conversion of the monomer.

**Example 32: Polymerisation of a Statistical Quatro-Polymer via ATRP.**

An experimental procedure similar to that for Example 27 was adopted, except that for the statistical quatro-polymer MPC<sub>0.30</sub>nBuMA<sub>0.50</sub>HPMA<sub>0.15</sub>TMSPMA<sub>0.05</sub> all of the monomers were added together at the beginning of the polymerization. TMSPMA is trimethoxysilylpropylmethacrylate. The concentration of MPC was 2.02M and the ratios are given in moles. The concentration of OEG-Br was  $6.73 \times 10^{-2}$  and [OEG-Br]:[CuBr]:[bipy] is 1:1:2. Target Dp is 100. NMR after completion of polymerisation indicated no residual comonomers (absence of vinyl signals between  $\delta$  5.0 – 6.5 ppm). No GPC analysis was possible with this copolymer due to the presence of the reactive silyl groups.

**Example 33: AB-Diblock MPC-DMAME Copolymer Formation via ATRP.**

MPC was block copolymerised with the methyl chloride quaternised derivative of DMA (DMAME). MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was homopolymerised first in a solvent mixture (2 ml methanol + 8 ml water); [MPC]:[OEG-Br]:[CuBr]:[bipy] = 30:1:1:2, target Dp = 30. After 60 mins, the monomer conversion reached > 99%, and the MPC homopolymer obtained had a low polydispersity ( $M_w / M_n < 1.20$ ). DMAME monomer (4.16 g,  $2.02 \times 10^{-2}$  mol, target Dp = 30) was then added to the polymerising solution. After 46 h, a block copolymer with a monomer conversion of more than 99% was obtained, as indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds at  $\delta$  5.5 – 6.0 ppm).

**Example 34: AB-Diblock MPC-DMABZ Copolymer Formation via ATRP.**

Another block copolymer was prepared in a similar fashion to Example 33, first MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was homopolymerised in 10.00 ml of a 20/80 vol/vol methanol/water mixture such that the molar ratios of [MPC]:[OEG-Br]:[CuBr]:[bipy] were 30:1:1:2 with a target Dp = 30, at 60 mins (99 % monomer conversion) a degassed benzyl chloride derivative of DMA [DMABZ] monomer (5.71 g,  $2.02 \times 10^{-2}$  mol, target Dp = 30) was then added to the polymerising aqueous solution. 50 hours after the addition of the



second monomer,  $^1\text{H}$  NMR spectroscopy was used to determine an overall conversion of more than 96 % for the diblock copolymer.

**Example 35: AB-Diblock PSSNa-MPC Copolymer Formation via ATRP.**

5 Sodium 4-styrenesulfonate (SSNa) was typically polymerised first, as follows: The SSNa monomer (4.17 g) was dissolved in a mixed solvent (15 ml  $\text{H}_2\text{O}$  + 5 ml MeOH), the pH was adjusted to about 10-12 with NaOH and the solution was degassed. The sodium 4-(bromomethyl)benzoate initiator (NaBMB) was added, together with the bipy ligand and Cu(I)Cl such that the  
10  $[\text{SSNa}]:[\text{NaBMB}]:[\text{CuCl}]:[\text{bipy}]$  molar ratio was 50:1:1:2 and the target Dp was 50. After 120 mins, the SSNa monomer conversion reached 95 % and the second monomer, MPC, was then added as a solid (6.0 g,  $2.02 \times 10^{-2}$  mol; target Dp = 50) to the polymerising SSNa solution. More than 99 % MPC conversion was achieved after 22 h, as indicated by  $^1\text{H}$  NMR spectroscopy  
15 (no residual vinyl double bonds at  $\delta$  5.5 – 6.0 ppm).

**Example 36-37: AB-Diblock MPC-PPO Copolymer Formation via ATRP.**

Block copolymerisation of MPC was achieved using a poly(propylene glycol) [PPO] macro-initiator. MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was polymerised in  
20 10.0 ml methanol. The molar ratios of  $[\text{MPC}]:[\text{PPO-Br}]:[\text{CuBr}]:[\text{bipy}]$  were 30:1:1:2. After 12 h, the MPC conversion reached 100 %, as indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds at  $\delta$  5.5 – 6.0 ppm).

Another MPC-PPO block copolymer was also synthesised with a longer MPC block under the following conditions: MPC (6.24 g,  $2.10 \times 10^{-2}$   
25 mol);  $[\text{MPC}]:[\text{PPO-Br}]:[\text{CuCl}]:[\text{bipy}] = 50:1:1:2.5$ . A diblock copolymer with a monomer conversion of 100 % was obtained after 18 h.

**Example 38-43: MPC-Based Copolymers Formation via ATRP**

Table 8 summarises the conditions and results from MPC diblock  
30 copolymer syntheses. The reaction conditions were  $[\text{MPC}] = 2.02 \text{ M}$ ,  $[\text{OEG-Br}]:[\text{CuBr}]:[\text{bipy}] = 1:1:2$ ,  $T = 20^\circ\text{C}$ ; MPC was polymerised first in all cases. The second block was formed either of diethylaminoethyl

methacrylate (DEA) or ammonium-2-sulphatoethylmethacrylate (SEM).

**Table 8: Data for the MPC-based copolymers**

Ex #	Target Composition	Solvent composition	[Second Monomer] (mol dm <sup>-3</sup> )	Time for > 99% Conversion	
				MPC Homo (mins)	MPC Diblock (h)
38	MPC <sub>50</sub> - DEA <sub>50</sub>	MeOH	2.02	100	24
39	MPC <sub>50</sub> - DEA <sub>100</sub>	MeOH	4.04	100	46
40	MPC <sub>30</sub> - DEA <sub>40</sub>	MeOH	2.69	70	19
41	MPC <sub>30</sub> - DEA <sub>50</sub>	MeOH	3.37	70	43
42	MPC <sub>30</sub> - DEA <sub>70</sub>	MeOH	4.71	70	46
43	MPC <sub>50</sub> - SEM <sub>50</sub>	H <sub>2</sub> O	2.02	40	24

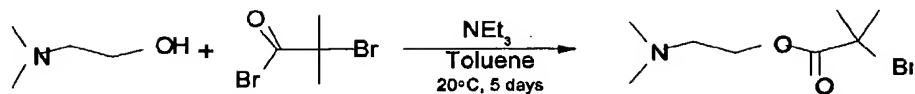
**Example 44-47: Homopolymerisation of MPC in MeOH via ATRP using new functional initiators and a PPO-based macro-initiator**

Table 9 describes the conditions and results from MPC homopolymer syntheses. The reaction conditions were [MPC] =  $2.02 \times 10^{-2}$  mol (examples 44, 46, 47), [MPC] =  $1.35 \times 10^{-2}$  mol (example 45), [OEG-Br] : [CuBr] : [bipy] = 1 : 1 : 2, T = 20°C.

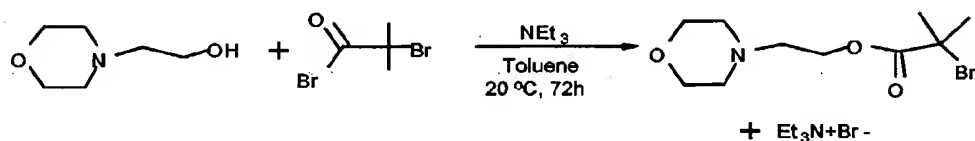
**Table 9: Data for the MPC homopolymers**

Ex #	Target Composition	Solvent composition	[Initiator] (mol dm <sup>-3</sup> )	Time for > 99% Conversion (h)
44	PEG <sub>45</sub> - MPC <sub>40</sub>	MeOH	5.05	24
45	PEG <sub>45</sub> - MPC <sub>10</sub>	MeOH	1.35	3
46	DMAEBR - MPC <sub>20</sub>	MeOH	0.10	3
47	MEBr - MPC <sub>50</sub>	MeOH	0.04	3

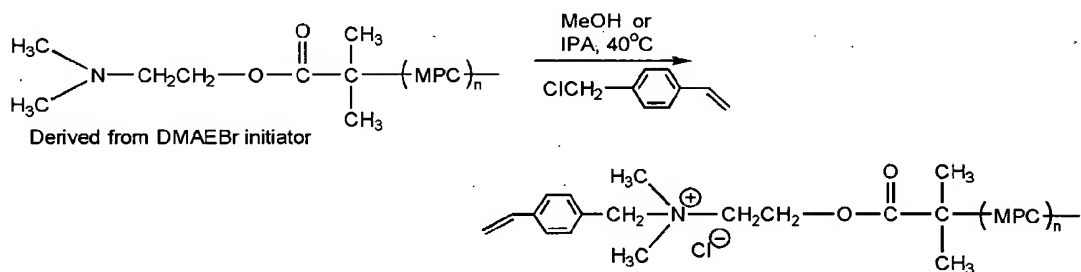
DMAEBR : This is a functional initiator for macromonomer syntheses. It was synthesised as follows:



MEBr: An NMR-labelled initiator. It was synthesised according to:



#### Example 48: MPC-Based Macromonomer *via* ATRP.



5

The MPC polymer obtained in Example 46 was reacted with 4-vinylbenzyl chloride [4-VBZCl] in methanol at 40 °C. The vinyl benzylchloride quaterises the tertiary amine group at the terminal of the MPC polymer. The molar ratios of [MPC polymer] : [4-VBZCl] were 1:2. A macromonomer was obtained after five days. This macromonomer was contaminated with residual 4-VBZCl, as indicated by <sup>1</sup>H NMR spectroscopy. The peaks at δ 5.5 – 6.0 ppm and δ 6.5 – 7.0 ppm are due to the vinyl and aromatic groups of the 4-VBZCl, respectively. Therefore the resulting macromonomer required further purification by washing with THF.

15

#### Example 49-50: A(BC)-Diblock MPC-(MMA / DEA) Copolymer Formation *via* ATRP.

MPC was block copolymerised with MMA / DEA. MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was homopolymerised first in 10ml methanol; [MPC]:[OEG-Br]:[CuBr]:[bipy] = 30:1:1:2, target Dp = 30. After 60 mins, the monomer conversion reached > 99%, and the homopolymer obtained had a low polydispersity ( $M_w / M_n < 1.20$ ). MMA monomer (1.35g,  $1.35 \times 10^{-2}$  mol, target Dp = 20) and DEA monomer (5.0g,  $2.70 \times 10^{-2}$  mol, target Dp = 40) was then added to the polymerising solution. After 24 h, a block copolymer with a monomer conversion of more than 99% was obtained. The reaction mixture was diluted with methanol and passed through a silica column to remove residual ATRP catalyst. After solvent evaporation, the products were dried under vacuum at room temperature. For example 50, the MMA / DEA ratio was changed to 40 / 20.

**Example 51: AB-Diblock MPC-HEMA Copolymer Formation *via* ATRP.**

Another block copolymer was prepared in a similar fashion to Example 49, first MPC (6.0g,  $2.02 \times 10^{-2}$  mol) was homopolymerised in 10.00 ml of the methanol such that the molar ratios of [MPC]:[OEG-Br]:[CuBr]:[bipy] were 50:1:1:2 with target Dp = 50. After 100 mins (99% monomer conversion) a degassed HEMA monomer (2.1g,  $1.62 \times 10^{-2}$  mol, target Dp = 40) was then added to the polymerising aqueous solution. 20 hours after the addition of the second monomer,  $^1\text{H}$  NMR spectroscopy was used to determine an overall conversion of more than 99% for the diblock copolymer. A white diblock copolymer ( $M_n = 16,000$ ) was obtained with a relatively low polydispersity ( $M_w/M_n \sim 1.25$ ).

**Example 52: AB-Diblock MPC-CBMA Copolymer Formation *via* ATRP.**

MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was polymerised first in methanol (10 ml) under the following conditions: [MPC]:[OEG-Br]:[CuBr]:[bipy] = 100:1:1:2; target Dp = 100. After 120 mins, the monomer conversion reached almost 100 % with  $M_n = 31,000$  and  $M_w/M_n = 1.16$ , as judged by aqueous GPC. The second monomer, N-methacryloyloxyethyl-N,Ndimethylammoniummethyl carboxylate inner salt CBMA (carboxybetaine methacrylate) was then added

(4.82 g,  $2.02 \times 10^{-2}$  mol; target  $D_p = 100$ ) to the polymerizing MPC solution. Almost complete monomer conversion was achieved after 24 hours, as indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds). A white diblock copolymer ( $M_n = 33,000$ ) was obtained with a relatively low polydispersity ( $M_w/M_n \sim 1.20$ ).

**Example 53: AB-Diblock MPC-MMA Copolymer Formation via ATRP.**

Block copolymerisation of MPC with MMA. MPC (6.0 g,  $2.02 \times 10^{-2}$  mol, target  $D_p = 100$ ) was polymerised in 10.0 ml methanol. The molar ratios of [MPC]:[OEG-Br]:[CuBr]:[bipy] were 100:1:1:2. After 3 hours, the monomer conversion reached more than 99%, as indicated by  $^1\text{H}$  NMR spectroscopy (no residual vinyl double bonds at  $\delta 5.5 - 6.0$  ppm). MMA monomer (0.6g,  $6.06 \times 10^{-3}$  mol, target  $D_p = 30$ ) was then added to the polymerising solution. After 24 h, a block copolymer with a monomer conversion of more than 99% was obtained. The reaction mixture was diluted with methanol and passed through a silica column to remove residual ATRP catalyst. After solvent evaporation, the products were dried under vacuum at room temperature.

**Examples 54 and 55: MPC-DMA-DEA ABC Triblock Copolymers Formation via ATRP**

MPC (6.0 g,  $2.02 \times 10^{-2}$  mol) was polymerised first in methanol (10 ml) under the following conditions: [MPC]:[OEG-Br]:[CuBr]:[bipy] = 30:1:1:2; target  $D_p = 30$ . After 60 min, the monomer conversion reached 99 % with  $M_n = 10,000$  and  $M_w/M_n = 1.19$ , as judged by aqueous GPC. The second monomer, DMA was then added as a liquid (2.15 g,  $1.35 \times 10^{-2}$  mol; target  $D_p = 20$ ) to the polymerising solution. Essentially 98 % monomer conversion was achieved after 150 min, as indicated by  $^1\text{H}$  NMR spectroscopy. DEA was then added as the third monomer (5.05 g,  $2.70 \times 10^{-2}$  mol, target  $D_p = 40$ ) to the polymerising solution. After 48 h, a block copolymer with an  $M_n$  of 20,000 and a polydispersity of 1.43 was obtained.

A MPC 30-DMA20-DEA.30 triblock copolymer was polymerised using the same procedure with an appropriate adjustment to the level of DEA.

**Example 56: Polymerisation of An MPC-Vinylacetate Functional Macromonomer (Example 31).**

The MPC-based macromer (0.5g) described in example 31 was dissolved in methanol (10g) containing 0.5wt% Perkadox 16 initiator. The solution was stirred at reflux for 4 hours after which the reaction mixture was allowed to cool. The solution was sampled and the solvent removed to yield a white solid. This was redissolved in D<sub>2</sub>O and subjected to <sup>1</sup>H NMR and compared to the spectrum of the macromer. The polymerised product showed no vinyl bonds at 5.5 and 6.0 ppm, demonstrating that the vinyl acetate reactive chain end group can be polymerised to produce a comb-like poly-MPC polymer.

**Example 57: Shell-crosslinking of MPC30-DMA20-DEA30:**

The a MPC30-DMA20-DEA30 triblock copolymer formed in Example 55 was micellised as in Example 30. The intensity-average micelle diameter was found to be 56 nm as a 1 wt. % aqueous solution at pH 9.6 (polydispersity 0.064 - which is good).

This was shell-crosslinked in solution by addition of 1,2-bis(2-iodoethoxy)ethane (BIEE) at pH8-9 for 3 days at 20°C with a BIEE:DMA ratio 0.5mol ratio (target crosslinking); this reacts to quaternise and cross-link the DMA residues. After shell-crosslinking of this triblock copolymer, the micelles are 63 nm diameter (polydispersity 0.08) at pH 9.6 and 67 nm (polydispersity 0.111) at pH 2.0. The latter measurement is a proof that the shell cross-linking was successful, since non-crosslinked micelles dissociate in acidic media.

**Example 58: Polymerisation of (MPC30-HPMA15-TMSPMA5)-(BMA50) Block Quatro polymer:**

The polymer of (MPC30-HPMA15-TMSPMA5)-(BMA50) was made according to the process outlined in example 32, except the MPC, HPMA and TMSPMA were added together and polymerised statistically to 99%

conversion before final addition of the BMA to form a separate block of hydrophobe in the copolymer.

#### **Example 59: Drug Delivery Studies**

5           Steel coupons coated with example 32 (statistical quatropolymer) and example 58 (block quatropolymer) and cured overnight at 70°C. The coupons were then immersed in a 10mg/ml solution of dexamethasone in either ethanol or ethanol:hexane (3:1) for 30 minutes. The coupons were removed and allowed to air dry for a further 30 minutes before being eluted  
10       into 5ml of ethanol using sonication. The ethanol solution was then analysed by UV spectroscopy at 243nm to detect the dexamethasone eluant. Figure 11 shows the relative amounts of drug loaded from the two solutions using the two polymers. Loading from ethanol or ethanol:hexane had no statistically significant difference on the total loading of drug in the statistical  
15       quatropolymer (example 32). There was, however, a statistically significant increase ( $p=0.04$ ) for the block quatropolymer, indicating that the hexane co-solvent was able to access and swell the hydrophobic blocks and increase the drug loading relative to the ethanol-swollen sample.

          Similarly, when the same polymers were loaded from the mixed  
20       ethanol:hexane solution and eluted in a kinetic experiment over 300 minutes, a higher final absorbance was recorded for the block quatropolymer, indicating more effective loading into the polymer coating by the solvent combination than for the statistical quatropolymer coating (Figure 12).

#### **Example 60: Performance data**

          Some of the polymers made above were subjected to tests to determine whether they reduce the level of fibrinogen absorption. This is an indicator of haemocompatibility.

          Fibrinogen ELISA was performed as previously described in WO-A-  
30       9301221. All polymers as indicated in Table 10 were dip-coated onto polyethylene terephthalate (PET) strips (30mm x 10mm) at 3mm/sec from ethanolic solutions (10mg/ml). The cross-linkable polymer (Example 32) was

crosslinked at 70°C overnight prior to testing. The positive control coating was an MPC-laurylmethacrylate (1:2) copolymer made by conventional free radical polymerisation and described in WO-A-9301221 and used commercially as a biocompatible and haemocompatible coating which reduces the fibrinogen absorption.

**Table 10**

Example	Coating	Mean Abs @ 450	S.D.	%CV	% Reduction	t-Test
60.1.1	No coating	0.797	0.053	6.6	-	-
60.1.2	Control	0.136	0.012	8.9	83	0.000
60.1.3	Polymer 25	0.116	0.015	11.6	85.5	0.000
60.1.4	Polymer 26	0.189	0.03	13.4	76.3	0.000
60.2.1	No coating	0.909	0.117	12.9	-	-
60.2.2	Control	0.175	0.021	12	80.8	0.000
60.2.3	Polymer 32	0.19	0.028	14.8	79.1	0.000

These data indicate that the simple block copolymers of examples 25 and 26 can be molecularly dissolved in an alcohol and physi-adsorbed onto planar surfaces to form stable biocompatible coatings. The statistical quatropolymer of example 32 can be coated and cured to form a stable biocompatible coating.



**Claims**

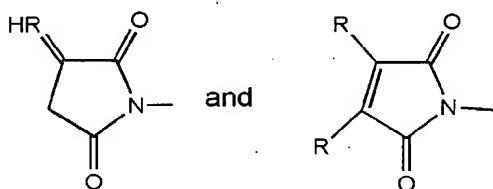
1. A polymerisation process in which ethylenically unsaturated monomers including a zwitterionic monomer of the general formula I

5

Y B X I

in which Y is an ethylenically unsaturated group selected from  $\text{H}_2\text{C}=\text{CR}-\text{CO}-\text{A}-$ ,  $\text{H}_2\text{C}=\text{CR}-\text{C}_6\text{H}_4-\text{A}^1-$ ,  $\text{H}_2\text{C}=\text{CR}-\text{CH}_2\text{A}^2$ ,  $\text{R}^2\text{O}-\text{CO}-\text{CR}=\text{CR}-\text{CO}-\text{O}$ ,  $\text{RCH}=\text{CH}-\text{CO}-\text{O}$ ,  $\text{RCH}=\text{C}(\text{COOR}^2)\text{CH}_2-\text{CO}-\text{O}$ ,

10



A is  $-\text{O}-$  or  $\text{NR}^1$ ;

15

$\text{A}^1$  is selected from a bond,  $(\text{CH}_2)_n\text{A}^2$  and  $(\text{CH}_2)_n\text{SO}_3^-$  in which n is 1 to 12;

$\text{A}^2$  is selected from a bond,  $-\text{O}-$ ,  $\text{O}-\text{CO}-$ ,  $\text{CO}-\text{O}$ ,  $\text{CO}-\text{NR}^1-$ ,  $-\text{NR}^1-\text{CO}$ ,  $\text{O}-\text{CO}-\text{NR}^1-$ ,  $\text{NR}^1-\text{CO}-\text{O}-$ ;

R is hydrogen or  $\text{C}_{1-4}$  alkyl;

20

$\text{R}^1$  is hydrogen,  $\text{C}_{1-4}$  alkyl or  $\text{BX}$ ;

$\text{R}^2$  is hydrogen or  $\text{C}_{1-4}$  alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents;

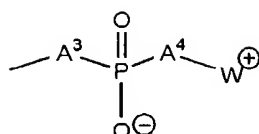
25

X is an ammonium phosphonium, or sulphonium phosphate or phosphonate ester zwitterionic group.

are polymerised by a living radical polymerisation process in the presence of an initiator, and a catalyst.

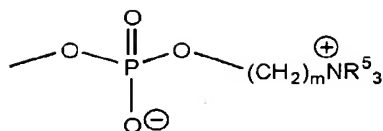
30

2. A polymerisation process according to claim in which X is a group of the general formula II



II

- 5 in which the moieties A<sup>3</sup> and A<sup>4</sup>, which are the same or different, are -O-, -S-, -NH- or a valence bond, preferably -O-, and W<sup>+</sup> is a group comprising an ammonium, phosphonium or sulphonium cationic group and a group linking the anionic and cationic moieties which is preferably a C<sub>1-12</sub>-alkanediyl group,
- 10 preferably in which W<sup>+</sup> is a group of formula -W<sup>1</sup>-N<sup>+</sup>R<sup>3</sup><sub>3</sub>, -W<sup>1</sup>-P<sup>+</sup>R<sup>4</sup><sub>3</sub>, -W<sup>1</sup>-S<sup>+</sup>R<sup>4</sup><sub>2</sub> or -W<sup>1</sup>-Het<sup>+</sup> in which:
- W<sup>1</sup> is alkanediyl of 1 or more, preferably 2-6 carbon atoms optionally containing one or more ethylenically unsaturated double or triple bonds, disubstituted-aryl (arylene), alkylene arylene, arylene alkylene, or alkylene
- 15 aryl alkylene, cycloalkanediyl, alkylene cycloalkyl, cycloalkyl alkylene or alkylene cycloalkyl alkylene, which group W<sup>1</sup> optionally contains one or more fluorine substituents and/or one or more functional groups; and
- either the groups R<sup>3</sup> are the same or different and each is hydrogen or alkyl of 1 to 4 carbon atoms, preferably methyl, or aryl, such as phenyl, or
- 20 two of the groups R<sup>3</sup> together with the nitrogen atom to which they are attached form an aliphatic heterocyclic ring containing from 5 to 7 atoms, or the three groups R<sup>3</sup> together with the nitrogen atom to which they are attached form a fused ring structure containing from 5 to 7 atoms in each ring, and optionally one or more of the groups R<sup>3</sup> is substituted by a
- 25 hydrophilic functional group, and
- the groups R<sup>4</sup> are the same or different and each is R<sup>3</sup> or a group OR<sup>3</sup>, where R<sup>3</sup> is as defined above; or
- Het is an aromatic nitrogen-, phosphorus- or sulphur-, preferably nitrogen-, containing ring, for example pyridine.
- 30 3. A polymerisation process according to claim 2 in which X is a group of general formula III

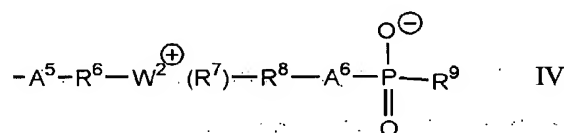


III

5 where the groups  $R^5$  are the same or different and each is hydrogen or  $C_{1-4}$  alkyl, and  $m$  is from 1 to 4, in which preferably the groups  $R^5$  are the same preferably methyl.

4. A polymerisation process according to claim 1 in which  $X$  is a group having the general formula IV

10



IV

in which  $A^5$  is a valence bond,  $-O-$ ,  $-S-$  or  $-NH-$ , preferably  $-O-$ ;

15  $R^6$  is a valence bond (together with  $A^5$ ) or alkanediyl,  $-C(O)$ alkylene- or  $-C(O)NH$  alkylene preferably alkanediyl, and preferably containing from 1 to 6 carbon atoms in the alkanediyl chain;

$W^6$  is  $S$ ,  $PR^7$  or  $NR^7$ ;

the or each groups  $R^7$  is hydrogen or alkyl of 1 to 4 carbon atoms or  
20 the two groups  $R^7$  together with the heteroatom to which they are attached form a heterocyclic ring of 5 to 7 atoms;

$R^8$  is alkyanediyl of 1 to 20, preferably 1 to 10, more preferably 1 to 6 carbon atoms;

$A^6$  is a bond,  $NH$ ,  $S$  or  $O$ , preferably  $O$ ; and

25  $R^9$  is a hydroxyl,  $C_{1-12}$  alkyl,  $C_{1-12}$  alkoxy,  $C_{7-18}$  aralkyl,  $C_{7-18}$  -aralkoxy,  $C_{6-18}$  aryl or  $C_{6-18}$  aryloxy group.

5. A polymerisation process according to claim 4 in which

$A^5$  is a bond;

$R^6$  is a  $C_{2-6}$  alkanediyl;

30  $W^2$  is  $NR^7$ ;

each  $R^7$  is  $C_{1-4}$  alkyl;

$R^8$  is  $C_{2-6}$  alkanediyl;

A<sup>6</sup> is O; and

R<sup>9</sup> is C<sub>1-4</sub> alkoxy.

6. A polymerisation process according to any preceding claim in which Y is H<sub>2</sub>C=CR-CO-A- in which R is hydrogen or methyl and A is O.

5 7. A polymerisation process according to any preceding claim in which B is a straight chain C<sub>2-6</sub> -alkanediyl.

8. A polymerisation process according to claim 1 in which the zwitterionic monomer is 2-methacryloyloxyethyl-2'- trimethylammonium ethyl phosphate inner salt.

10 9. A polymerisation process according to any preceding claim in which the polymerisation mixture contains a non-polymerisable solvent, preferably in an amount, in the range of 10 to 500% by weight based on the weight of ethylenically unsaturated monomer.

15 10. A polymerisation process according to claim 9 in which the solvent comprises water, preferably in an amount in the range 10 to 100% by weight based on the weight of ethylenically unsaturated monomer.

11. A polymerisation process according to any preceding claim in which the ethylenically unsaturated monomer includes at least one comonomer, preferably selected from anionic, cationic and non-ionic monomers, more preferably comprising a non-ionic monomer.

20 12. A polymerisation process according to claim 11 in which the comonomer is immiscible with the zwitterionic monomer, and in which the polymerisation mixture comprises a non-polymerisable solvent in which both the zwitterionic monomer and the comonomer are soluble.

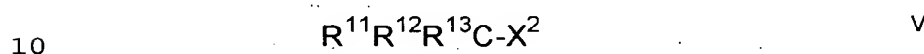
25 13. A polymerisation process according to claim 12 in which the solvent includes water and a water-miscible organic solvent, preferably a C<sub>1-4</sub> alkanol, more preferably methanol.

14. A polymerisation process according to any preceding claim which is an atom or group transfer radical polymerisation.

30 15. A polymerisation process according to claim 14, in which the initiator has a radically transferable atom or group, and the catalyst comprises a transition metal compound and a ligand, in which the transition

metal compound is capable of participating in a redox cycle with the initiator and dormant polymer chain, and the ligand is either any N-, O-, P- or S-containing compound which can coordinate with the transition metal atom in a  $\sigma$ -bond, or any carbon-containing compound which can coordinate with the transition metal in a  $\pi$ -bond, such that direct bonds between the transition metal and growing polymer radicals are not formed.

16. A polymerisation process according to claim 15 in which the initiator is of the general formula V



where:

$\text{X}^2$  is selected from the group consisting of Cl, Br, I,  $\text{OR}^{10}$ ,  $\text{SR}^{14}$ ,  $\text{SeR}^{14}$ ,  $\text{OP}(=\text{O})\text{R}^{14}$ ,  $\text{OP}(=\text{O})(\text{OR}^{14})_2$ ,  $\text{O}-\text{N}(\text{R}^{14})_2$  and  $\text{S}-\text{C}(=\text{S})\text{N}(\text{R}^{14})_2$ , where  $\text{R}^{10}$  is alkyl of from 1 to 20 carbon atoms in which each of the hydrogen atoms may be independently replaced by halide,  $\text{R}^{14}$  is aryl or a straight or branched  $\text{C}_1$ - $\text{C}_{20}$  alkyl group, and where an  $\text{N}(\text{R}^{14})_2$  group is present, the two  $\text{R}^{14}$  groups may be joined to form a 5- or 6-membered heterocyclic ring; and

$\text{R}^{11}$ ,  $\text{R}^{12}$  and  $\text{R}^{13}$  are each independently selected from the group consisting of H, halogen,  $\text{C}_1$ - $\text{C}_{20}$  alkyl,  $\text{C}_3$ - $\text{C}_8$  cycloalkyl,  $\text{C}(=\text{O})\text{R}^{15}$ ,  $\text{C}(=\text{O})\text{NR}^{16}\text{R}^{17}$ ,  $\text{COCl}$ ,  $\text{OH}$ ,  $\text{CN}$ ,  $\text{C}_2$ - $\text{C}_{20}$  alkenyl,  $\text{C}_2$ - $\text{C}_{20}$  alkenyl oxiranyl, glycidyl, aryl, heterocyclyl, aralkyl, aralkenyl,  $\text{C}_1$ - $\text{C}_6$  alkyl in which from 1 to all of the hydrogen atoms are replaced with halogen, and  $\text{C}_1$ - $\text{C}_6$  alkyl substituted with from 1 to 3 substituents selected from the group consisting of  $\text{C}_1$ - $\text{C}_4$  alkoxy, aryl, heterocyclyl,  $\text{C}(=\text{O})\text{R}^{15}$ ,  $\text{C}(=\text{O})\text{NR}^{16}\text{R}^{17}$ ,  $-\text{CR}^{12}\text{R}^{13}\text{X}^2$ , oxiranyl and glycidyl;

where  $\text{R}^{15}$  is alkyl of from 1 to 20 carbon atoms, alkoxy of from 1 to 20 carbon atoms, oligo(alkoxy) in which each alkoxy group has 1 to 3 carbon atoms, aryloxy or heterocycloxy any of which groups may have substituents selected from optionally substituted alkoxy, oligoalkoxy, amino (including mono- and di-alkyl amino and trialkyl ammonium, which alkyl groups, in turn

may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy) and hydroxyl groups; and

$R^{16}$  and  $R^{17}$  are independently H or alkyl of from 1 to 20 carbon atoms which alkyl groups, in turn may have substituents selected from acyl,

- 5    alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy, or  $R^{16}$  and  $R^{17}$  may be joined together to form an alkanediyl group of from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring;

such that not more than two of  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  are H.

17.    The process of claim 16, wherein no more than one of  $R^{11}$ ,  $R^{12}$   
10    and  $R^{13}$  is H.

18.    A polymerisation process according to claim 16 in which  $X^2$  is selected from Cl, Br or I, preferably Br.

19.    A polymerisation process according to any of claims 16 to 18 in which  $R^{11}$  and  $R^{12}$  are each methyl and  $R^{13}$  is  $-CO-R^{15}$  in which  $R^{15}$  is  
15    oligoalkoxy, preferably methoxy-oligoethoxy in which there are 2 to 10 ethoxy groups.

20.    A polymerisation process according to any of claims 15 to 19 in which the transition metal compound  $M_t^{n+}X'_n$ , where:

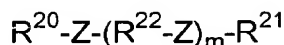
- $M_t^{n+}$  may be selected from the group consisting of  $Cu^{1+}$ ,  $Cu^{2+}$ ,  $Fe^{2+}$ ,  
20     $Fe^{3+}$ ,  $Ru^{2+}$ ,  $Ru^{3+}$ ,  $Cr^{2+}$ ,  $Cr^{3+}$ ,  $Mo^{2+}$ ,  $Mo^{3+}$ ,  $W^{2+}$ ,  $W^{3+}$ ,  $Mn^{2+}$ ,  $Mn^{3+}$ ,  $Mn^{4+}$ ,  $Rh^{3+}$ ,  $Rh^{4+}$ ,  $Re^{2+}$ ,  $Re^{3+}$ ,  $Co^+$ ,  $Co^{2+}$ ,  $Co^{3+}$ ,  $V^{2+}$ ,  $V^{3+}$ ,  $Zn^+$ ,  $Zn^{2+}$ ,  $Ni^{2+}$ ,  $Ni^{3+}$ ,  $Au^+$ ,  $Au^{2+}$ ,  $Ag^+$  and  $Ag^{2+}$ ;

- $X'$  is selected from the group consisting of halogen,  $C_{12}-C_6$ -alkoxy,  $(SO_4)_{1/2}$ ,  $(PO_4)_{1/3}$ ,  $(R^{18}PO_4)^{1/2}$ ,  $(R^{18}_2PO_4)$ , triflate, hexafluorophosphate,  
25    methanesulphonate, arylsulphonate, CN and  $R^{19}CO_2$ , where  $R^{18}$  is aryl or a straight or branched  $C_{1-20}$  alkyl and  $R^{19}$  is H or a straight or branched  $C_1-C_6$  alkyl group which may be substituted from 1 to 5 times with a halogen; and  
 $n$  is the formal charge on the metal ( $0 \leq n \leq 7$ ).

21.    A polymerisation process according to claim 20 in which the  
30    metal compound is  $CuHal$  or  $RuHal_2$  where Hal is chlorine or bromine.

22.    A polymerisation process according to any of claims 15 to 20, wherein said ligand is selected from the group consisting of:

a) compounds of the formulas:



5

where:

$R^{20}$  and  $R^{21}$  are independently selected from the group consisting of H,  $C_1$ - $C_{20}$  alkyl, aryl, heterocyclyl and  $C_1$ - $C_6$  alkoxy,  $C_1$ - $C_4$  dialkylamino,  $C(=O)R^{22}$ ,  $C(=O)R^{23}R^{24}$  and  $A^7C(=O)R^{25}$ , where  $A^7$  may be  $NR^{26}$  or O;  $R^{22}$  is  
 10 alkyl of from 1 to 20 carbon atoms, aryloxy or heterocyclyloxy;  $R^{23}$  and  $R^{24}$  are independently H or alkyl of from 1 to 20 carbon atoms or  $R^{23}$  and  $R^{24}$  may be joined together to form an alkanediyl group of from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring;  $R^{25}$  is H, straight or branched  $C_1$ - $C_{20}$  alkyl or aryl and  $R^{26}$  is hydrogen, straight or branched;  $C_{1-20}$ -alkyl or aryl; or  
 15  $R^{20}$  and  $R^{21}$  may be joined to form together with Z, a saturated or unsaturated ring;

Z is O, S,  $NR^{27}$  or  $PR^{27}$ , where  $R^{27}$  is selected from the same group as  $R^{20}$  and  $R^{21}$ , and where Z is  $PR^{27}$ ,  $R^{27}$  can also  $C_1$ - $C_{20}$  alkoxy or Z may be a bond  $CH_2$  or a fused ring, where one or both of  $R^{20}$  and  $R^{23}$  is heterocyclyl,

20 each  $R^{22}$  is independently a divalent group selected from the group consisting of  $C_1$ - $C_8$  cycloalkanediyl,  $C_1$ - $C_8$  cycloalkenediyl, arenediyl and heterocyclylene where the covalent bonds to each Z are at vicinal positions or  $R^{22}$  may be joined to one or both of  $R^{20}$  and  $R^{21}$  to formulate a heterocyclic ring system; and

25 m is from 1 to 6;

b) CO;

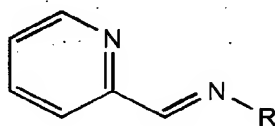
c) porphyrins and porphycenes, which may be substituted with from 1 to 6 halogen atoms,  $C_{1-6}$  alkyl groups,  $C_{1-6}$ -alkoxy groups,  $C_{1-6}$  alkoxy carbonyl, aryl groups, heterocyclyl groups, and  $C_{1-6}$  alkyl groups further  
 30 substituted with from 1 to 3 halogens;

d) compounds of the formula  $R^{23}R^{24}C(C(=O)R^{25})_2$ , where  $R^{25}$  is  $C_{1-20}$  alkyl,  $C_{1-20}$  alkoxy, aryloxy or heterocyclyloxy; and each of  $R^{23}$  and  $R^{24}$  is

independently selected from the group consisting of H, halogen, C<sub>1-20</sub> alkyl, aryl and heterocyclyl, and R<sup>23</sup> and R<sup>24</sup> may be joined to form a C<sub>1-8</sub> cycloalkyl ring or a hydrogenated aromatic or heterocyclic ring, of which the ring atoms may be further substituted with 1 to 5 C<sub>1-6</sub> alkyl groups, C<sub>1-6</sub> alkoxy groups, halogen atoms, aryl groups, or combinations thereof; and

e) arenes and cyclopentadienyl ligands, where said cyclopentadienyl ligand may be substituted with from one to five methyl groups, or may be linked through an ethylene or propylene chain to a second cyclopentadienyl ligand.

23. A polymerisation process according to claim 22 in which the ligand is bipyridine, triphenylphosphine, 1,1,4,7,10,10-hexamethyl-triethylene tetramine, or



where R is an alkyl or substituted alkyl group, in which the substituent is selected from amino, including alkylamino and acylamino, alkoxy, hydroxy, acyl, acyloxy, alkoxycarbonyl, heterocyclyl, ionic, and halogen substituents.

24. A polymerisation process according to any preceding claim in which the molar ratio of initiator to ethylenically unsaturated monomer is in the range 1:(5 to 500), preferably 1: (10 to 100).

25. A polymerisation process according to any preceding claim in which the polymer product has an average degree of polymerisation in the range 5 to 500, preferably 10 to 100.

26. A polymerisation process according to any preceding claim in which the polydispersity of the polymer product is less than 1.5.

27. A polymerisation process according to any preceding claim which is carried out until the level of residual ethylenically unsaturated monomer is less than 5%.

28. A polymerisation process according to claim 27 in which the initial polymer product is subjected to a second step of living radical



polymerisation in which further ethylenically unsaturated monomer is contacted with the initial polymer product which acts as initiator in the presence of a catalyst, to form a block copolymer product.

29. A polymerisation process according to claim 28 in which the initial polymer product is not isolated from the product mixture before the second step.

30. A polymerisation process according to claim 29 in which the further monomers are added to the product mixture of the first step as a solution in a solvent which is miscible with the said product mixture.

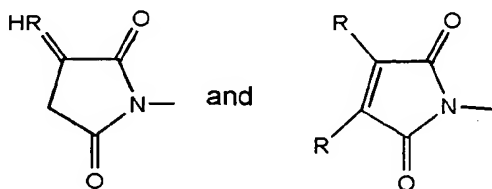
31. A polymerisation process according to claim 28 in which the initial polymer product is isolated from the catalyst of the first step, and in which a different catalyst is used in the second step, the catalyst preferably being as defined in claim 15.

32. A polymerisation process according to claim 28 or claim 31 in which the first polymerisation is conducted in the presence of a non-polymerisable solvent and in which the initial polymer product is isolated from the solvent, and in which the second step is conducted in the absence of a non-polymerisable solvent or in the presence of a non-polymerisable solvent different from or the same as the solvent used in the first step.

33. A polymer formed from ethylenically unsaturated monomers including a zwitterionic monomer of the general formula I



in which Y is an ethylenically unsaturated group selected from  $\text{H}_2\text{C}=\text{CR}-\text{CO}-\text{A}^-$ ,  $\text{H}_2\text{C}=\text{CR}-\text{C}_6\text{H}_4-\text{A}^1-$ ,  $\text{H}_2\text{C}=\text{CR}-\text{CH}_2\text{A}^2$ ,  $\text{R}^2\text{O}-\text{CO}-\text{CR}=\text{CR}-\text{CO}-\text{O}$ ,  $\text{RCH}=\text{CH}-\text{CO}-\text{O}^-$ ,  $\text{RCH}=\text{C}(\text{COOR}^2)\text{CH}_2-\text{CO}-\text{O}$ ,



$\text{A}^1$  is selected from a bond,  $(\text{CH}_2)_n\text{A}^2$  and  $(\text{CH}_2)_n\text{SO}_3^-$  in which n is 1 to 12;

A is -O- or NR<sup>1</sup>;

A<sup>2</sup> is selected from a bond -O-, O-CO-, CO-O, CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO, O-CO-NR<sup>1</sup>-, NR<sup>1</sup>-CO-O-;

R is hydrogen or C<sub>1-4</sub> alkyl;

5 R<sup>1</sup> is hydrogen, C<sub>1-4</sub> alkyl or BX;

R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents;

10 X is an ammonium, phosphonium, or sulphonium phosphate or phosphonate ester zwitterionic group, having a degree of polymerisation in the range 5 to 500 and a polydispersity of less than 1.5.

34. A polymer according to claim 33 in which the zwitterionic monomer is as defined in any of claims 2 to 8.

15 35. A polymer according to claim 33 or claim 34 in which the ethylenically unsaturated monomer includes at least one comonomer selected from anionic, cationic and non-ionic monomers, preferably comprising a non-ionic monomer.

20 36. A polymer according to any of claims 33 to 35 in which the ethylenically unsaturated monomer includes at least one cross-linkable monomer, preferably a monomer comprising a reactive silyl group, more preferably a trialkoxysilylalkyl(alk)acrylate.

25 37. A polymer according to claim 33 having at least one terminal group or atom which is transferable to produce a radical in the presence of a catalyst which comprises a transition metal compound and a ligand, in which the transition metal compound is capable of participating in a redox cycle with the initiator and dormant polymer chain, and the ligand is either any N-, O-, P- or S- containing compound which can coordinate with the transition metal atom in a  $\sigma$ -bond, or any carbon-containing compound which can coordinate with the transition metal in a  $\pi$ -bond, such that direct bonds  
30 between the transition metal and molecules of the polymer are not formed.

38. A polymer according to claim 37 in which the said terminal atom or group is a halogen atom, preferably a chlorine, or more preferably, a bromine atom.

39. A polymer according to claim 37 or 38 which has one such terminal group and, at the other end of the polymer chain, has an oligo(alkoxy)alkyl group joined to the end residue derived from the said ethylenically unsaturated monomers.

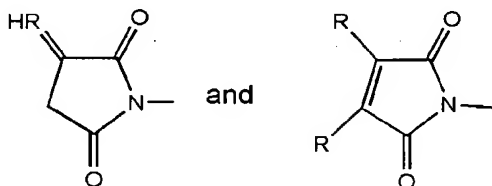
40. A polymer according to claim 37 or claim 38 which has one such terminal group and, at the other end of the polymer chain has a functional group joined to the end residue derived from said ethylenically unsaturated monomers.

41. A polymer according to claim 40 in which the functional group comprises an ethylenically unsaturated group and/or a tertiary or quaternary amine group.

42. A block copolymer of the A-B or A-B-A type in which A and B are the same or, preferably, different, in which at least one of the A and B is formed from ethylenically unsaturated monomer including a zwitterionic monomer of the general formula VI



in which Y is an ethylenically unsaturated group selected from  $H_2C=CR-CO-A-$ ,  $H_2C=CR-C_6H_4-A^1-$ ,  $H_2C=CR-CH_2A^2$ ,  $R^2O-CO-CR=CR-CO-O$ ,  $RCH=CH-CO-O-$ ,  $RCH=C(COOR^2)CH_2-CO-O$ ,



A is -O- or  $NR^1$ ;

$A^1$  is selected from a bond,  $(CH_2)_nA^2$  and  $(CH_2)_nSO_3-$  in which n is 1 to 12;

A<sup>2</sup> is selected from a bond -O-, O-CO-, CO-O, CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO, O-CO-NR<sup>1</sup>-, NR<sup>1</sup>-CO-O-;

R is hydrogen or C<sub>1-4</sub> alkyl;

R<sup>1</sup> is hydrogen, C<sub>1-4</sub> alkyl or BX;

5 R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents; and

X<sup>1</sup> is a zwitterionic group.

10 43. A block copolymer according to claim 42 in which the zwitterionic group is an ammonium, sulphonium or phosphonium phosphate or phosphonate ester zwitterionic group.

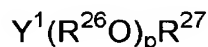
44. A block copolymer according to claim 42 or 43 in which the zwitterionic monomer has the further features as defined in any of claims 2 to 8.

15 45. A block copolymer according to any of claims 42 to 44 in which the block comprising zwitterionic monomer is formed from ethylenically unsaturated monomers comprising at least one comonomer selected from cationic, anionic and non-ionic monomers.

20 46. A block copolymer according to any of claims 42 to 44 in which the degree of polymerisation of the block comprising zwitterionic monomer is in the range 2 to 100, preferably 5 to 50.

47. A block copolymer according to any of claims 42 to 46 in which both blocks A and B are formed from ethylenically unsaturated monomer, the monomers from which A is formed comprising either different monomers to the monomers from which B is formed, or the same monomers as the monomers from which B is formed but in different ratios.

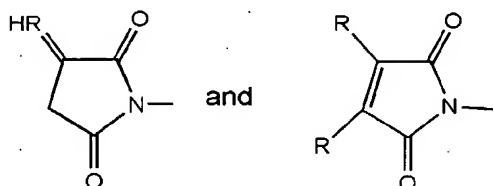
25 48. A block copolymer according to claim 47 in which one of the blocks is formed from monomers comprising an oligoalkoxy monomer of the general formula VII



VII

in which  $Y^1$  is an ethylenically unsaturated group selected from  $H_2C=CR-CO-A-$ ,  $H_2C=CR-C_6H_4-A^1-$ ,  $H_2C=CR-CH_2A^2$ ,  $R^2O-CO-CR=CR-CO-O$ ,  $RCH=CH-CO-O-$ ,  $RCH=C(COOR^2)CH_2-CO-O$ ,

5



A is  $-O-$  or  $NR^1$ ;

10

$A^1$  is selected from a bond,  $(CH_2)_nA^2$  and  $(CH_2)_nSO_3-$  in which n is 1 to 12;

$A^2$  is selected from a bond  $-O-$ ,  $O-CO-$ ,  $CO-O$ ,  $CO-NR^1-$ ,  $-NR^1-CO$ ,  $O-CO-NR^1-$ ,  $NR^1-CO-O$ ;

R is hydrogen or  $C_{1-4}$  alkyl;

15

$R^1$  is hydrogen,  $C_{1-4}$  alkyl or  $BX$ ;

$R^2$  is hydrogen or  $C_{1-4}$  alkyl; and

$R^{26}$  is  $C_{2-3}$  alkanediyl;

$R^{27}$  is  $C_{1-12}$  alkyl,  $C_{7-12}$  aralkyl or aryl; and

p is 1 to 50.

20

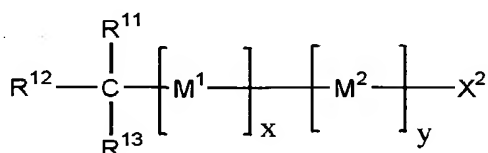
49. A block copolymer according to claim 48 in which  $Y^1$  is  $H_2C=CR-CO-A-$  in which R is methyl and A is O.

50. A block copolymer according to claim 47 in which the monomers from which A is formed include a first zwitterionic monomer of the formula VI and the monomers from which B is formed include a second zwitterionic monomer of the formula VI different from the first zwitterionic monomer.

25

51. A polymer of the formula VIII

30

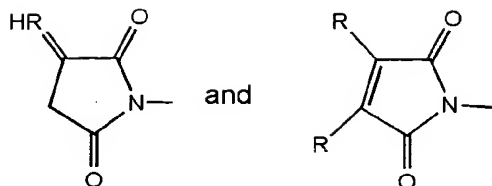


VIII

in which  $X^2$  is selected from the group consisting of Cl, Br, I,  $OR^{10}$ ,  $SR^{14}$ ,  $SeR^{14}$ ,  $OP(=O)R^{14}$ ,  $OP(=O)(OR^{14})_2$ ,  $O-N(R^{14})_2$  and  $S-C(=S)N(R^{14})_2$ , where  $R^{10}$  is alkyl of from 1 to 20 carbon atoms in which each of the hydrogen atoms may be independently replaced by halide,  $R^{14}$  is aryl or a straight or  
 5 branched  $C_1$ - $C_{20}$  alkyl group, and where an  $N(R^{14})_2$  group is present, the two  $R^{14}$  groups may be joined to form a 5- or 6-membered heterocyclic ring; and  
 $R^{11}$ ,  $R^{12}$  and  $R^{13}$  are each independently selected from the group consisting of H, halogen,  $C_1$ - $C_{20}$  alkyl,  $C_3$ - $C_8$  cycloalkyl,  $C(=O)R^{15}$ ,  $C(=O)NR^{16}R^{17}$ ,  $COCl$ ,  $OH$ ,  $CN$ ,  $C_2$ - $C_{20}$  alkenyl,  $C_2$ - $C_{20}$  alkenyl oxiranyl,  
 10 glycidyl, aryl, heterocyclyl, aralkyl, aralkenyl,  $C_1$ - $C_6$  alkyl in which from 1 to all of the hydrogen atoms are replaced with halogen,  $C_1$ - $C_6$  alkyl substituted with from 1 to 3 substituents selected from the group consisting of  $C_1$ - $C_4$  alkoxy, aryl, heterocyclyl,  $C(=O)R^{15}$ ,  $C(=R)NR^{16}R^{17}$ ,  $-CR^{12}R^{13}X$ , oxiranyl and glycidyl;  
 15 where  $R^{15}$  is alkyl of from 1 to 20 carbon atoms, alkoxy of from 1 to 20 carbon atoms, oligo(alkoxy) in which each alkoxy group has 1 to 5 carbon atoms, aryloxy or heterocyclyloxy any of which groups may have substituents selected from optionally substituted alkoxy, oligoalkoxy, amino (including mono- and di-alkyl amino and trialkyl ammonium, which alkyl groups, in turn  
 20 may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy) and hydroxyl groups; and  $R^{16}$  and  $R^{17}$  are independently H or alkyl of from 1 to 20 carbon atoms which alkyl groups, in turn may have substituents selected from acyl, alkoxycarbonyl, alkenoxycarbonyl, aryl and hydroxy, or  $R^{16}$  and  $R^{17}$  may be joined together to form an alkylene group of  
 25 from 2 to 5 carbon atoms, thus forming a 3- to 6-membered ring;  
 such that not more than two of  $R^{11}$ ,  $R^{12}$  and  $R^{13}$  are H  
 $M^1$  is the residue of a zwitterionic monomer zwitterionic monomer of the general formula I



30 in which Y is an ethylenically unsaturated group selected from  $H_2C=CR-CO-A-$ ,  $H_2C=CR-C_6H_4-A^1-$ ,  $H_2C=CR-CH_2A^2$ ,  $R^2O-CO-CR=CR-CO-O$ ,  $RCH=CH-CO-O-$ ,  $RCH=C(COOR^2)CH_2-CO-O$ ,



5

A is -O- or NR<sup>1</sup>;

A<sup>1</sup> is selected from a bond, (CH<sub>2</sub>)<sub>n</sub>A<sup>2</sup> and (CH<sub>2</sub>)<sub>n</sub>SO<sub>3</sub><sup>-</sup> in which n is 1 to 12;

A<sup>2</sup> is selected from a bond -O-, O-CO-, CO-O, CO-NR<sup>1</sup>-, -NR<sup>1</sup>-CO, O-CO-NR<sup>1</sup>-, NR<sup>1</sup>-CO-O-;

R is hydrogen or C<sub>1-4</sub> alkyl;

R<sup>1</sup> is hydrogen, C<sub>1-4</sub> alkyl or BX;

R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

B is a bond, or a straight branched alkanediyl, alkylene oxaalkylene, or alkylene (oligooxaalkylene) group, optionally containing one or more fluorine substituents;

X is an ammonium, phosphonium, or sulphonium phosphate or phosphonate ester zwitterionic group;

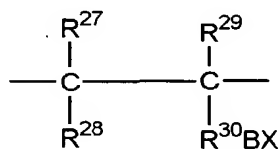
x is 2 to 500;

M<sup>2</sup> is the residue of an ethylenically unsaturated comonomer polymerisable with the zwitterionic monomer; and

y is 0 to 500.

52. A polymer according to claim 51 in which M<sup>1</sup> has the formula IX

25



IX

in which R<sup>27</sup> is selected from hydrogen C<sub>1-4</sub> alkyl and groups CO OR<sup>2</sup> in which R<sup>2</sup> is hydrogen or C<sub>1-4</sub> alkyl;

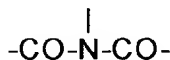
R<sup>28</sup> is selected from hydrogen and C<sub>1-4</sub> alkyl;

30

$R^{29}$  is selected from hydrogen,  $C_{1-4}$  alkyl and groups  $COOR^2$ , provided that  $R^{27}$  and  $R^{29}$  are not both  $COOR^2$ ;

$R^{30}$  is selected from a bond, a group  $CH_2A^2$ , in which  $A^2$  is selected from a bond,  $-O-$ ,  $-O-CO-$ ,  $-CO-O-$ ,  $-CO-NR^1-$ ,  $-NR^1-CO-$ ,  $-O-CO-NR^1-$  and  $-NR^1-CO-O-$ , a group  $-COA-$  in which  $A$  is  $-O-$  or  $NR^1$ , in which  $R^1$  is hydrogen or  $C_{1-4}$  alkyl or  $BX$ , and a group  $-C_6H_4-A^1-$  in which  $A^1$  is  $(CH_2)_nA^2$ , a bond or  $(CH_2)_nSO_3$ , or  $R^{30}$  and  $R^{28}$

or  $R^{30}$  and  $R^{21}$  may be joined to form a group

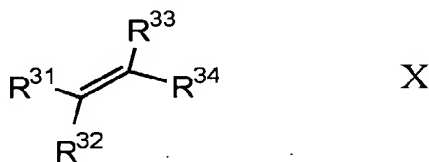


where the N atom is joined to B;

and B and X are as defined in claim 51.

53. A polymer according to claims 51 or claim 52 and 49 in which x is 5 to 50.

54. A polymer according to any of claims 51 to 53 in which the comonomer has the general formula X



in which  $R^{31}$  is selected from hydrogen, halogen,  $C_{1-4}$  alkyl and groups  $COOR^2$  in which  $R^2$  is hydrogen and  $C_{1-4}$  alkyl;

$R^{32}$  is selected from hydrogen, halogen and  $C_{1-4}$  alkyl;

$R^{33}$  is selected from hydrogen, halogen,  $C_{1-4}$  alkyl and groups  $COOR^2$  provided that  $R^{31}$  and  $R^{33}$  are not both  $COOR^2$ ; and

$R^{34}$  is a  $C_{1-10}$  alkyl, a  $C_{1-20}$  alkoxy carbonyl, a mono- or di- $(C_{1-20}$  alkyl) amino carbonyl, a  $C_{6-20}$  aryl (including alkaryl), a  $C_{7-20}$  aralkyl, a  $C_{6-20}$  aryloxy carbonyl, a  $C_{1-20}$  -aralkyloxy carbonyl, a  $C_{6-20}$  arylamino carbonyl, a  $C_{7-20}$  aralkyl-amino, a hydroxyl or a  $C_{2-10}$  acyloxy group, any of which may have one or more substituents selected from halogen atoms, alkoxy, oligo-alkoxy, aryloxy, acyloxy, acylamino, amine (including mono and di-alkyl amino and trialkylammonium in which the alkyl groups may be substituted), carboxyl,



sulphonyl, phosphoryl, phosphino, (including mono- and di- alkyl phosphine and tri-alkylphosphonium), zwitterionic, hydroxyl, vinyloxycarbonyl and other vinylic or allylic, and reactive silyl or silyloxy groups, such as trialkoxysilyl groups;

5           or  $R^{34}$  and  $R^{33}$  or  $R^{34}$  and  $R^{32}$  may together form  $-\text{CONR}^{35}\text{CO}$  in which  $R^{35}$  is a  $\text{C}_{1-20}$  alkyl group.

55.       A polymer according to claim 54 in which  $R^{31}$  and  $R^{32}$  are hydrogen,  $R^{33}$  is methyl and  $R^{34}$  is a  $\text{C}_{1-20}$  alkoxy carbonyl, optionally having a hydroxy substituent.

10           56.       A polymer according to any of claims 51 to 55 in which  $X^2$  is bromine,  $R^{11}$  and  $R^{12}$  are each methyl and  $R^{13}$  is  $\text{CO}$   $R^{15}$  in which  $R^{15}$  is oligoalkoxy, preferably methoxy-oligoethoxy in which there are 2 to 10 ethoxy groups.

57.       A block copolymerisation process in which ethylenically  
15       unsaturated monomers are polymerised in the presence of, as initiator, a polymer according to any of claims 51 to 56 and a catalyst.

58.       A block copolymerisation process according to claim 57 in which the catalyst is as defined in claim 15.

59.       A block copolymerisation process according to claim 58 in  
20       which the catalyst is as defined in any of claims 20 to 23.

60.       A block copolymerisation process according to any of claims 57 to 59 in which the molar ratio of polymer-initiator to ethylenically unsaturated monomer is in the range 1:(2 to 500), preferably 1:(10 to 50).

61.       A macromonomer which is a polymer according to claim 33  
25       having a terminal group comprising an ethylenically unsaturated group.

62.       A polymer formed by polymerising by radical polymerisation ethylenically unsaturated monomers including a macromonomer according to claim 61 or a polymer according to claim 41 in which the said functional group comprises an ethylenically unsaturated group.

30           63.       A cross-linked polymer formed by subjecting a polymer according to claim 36 to conditions under which the cross-linkable groups react to cross-link the polymer.

64. Use of a block copolymer according to any of claims 42 to 50 as a drug delivery matrix.

65. A composition comprising a block copolymer according to any of claims 42 to 50 and, absorbed in the copolymer, a drug capable of being released from the copolymer.

66. A composition according claim 65 in which the block copolymer is in the form of a film.

67. A composition according to claim 65 in which the block copolymer is in the form of a dispersion of micelles in an aqueous continuous phase.

68. A pharmaceutical composition comprising a block copolymer according to any of claims 42 to 50 and, absorbed in the copolymer, a drug capable of being released from the copolymer and a pharmaceutical excipient.

1/8

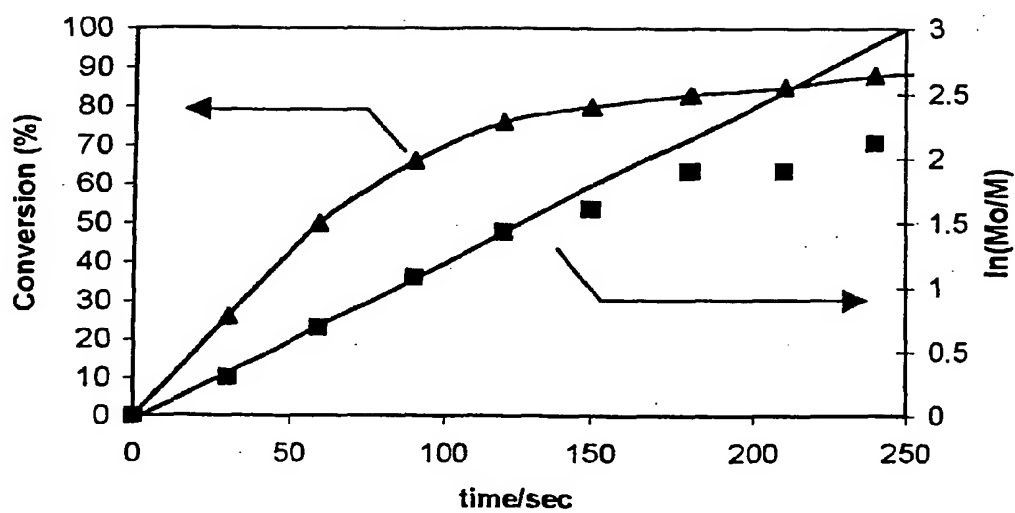


Figure 1

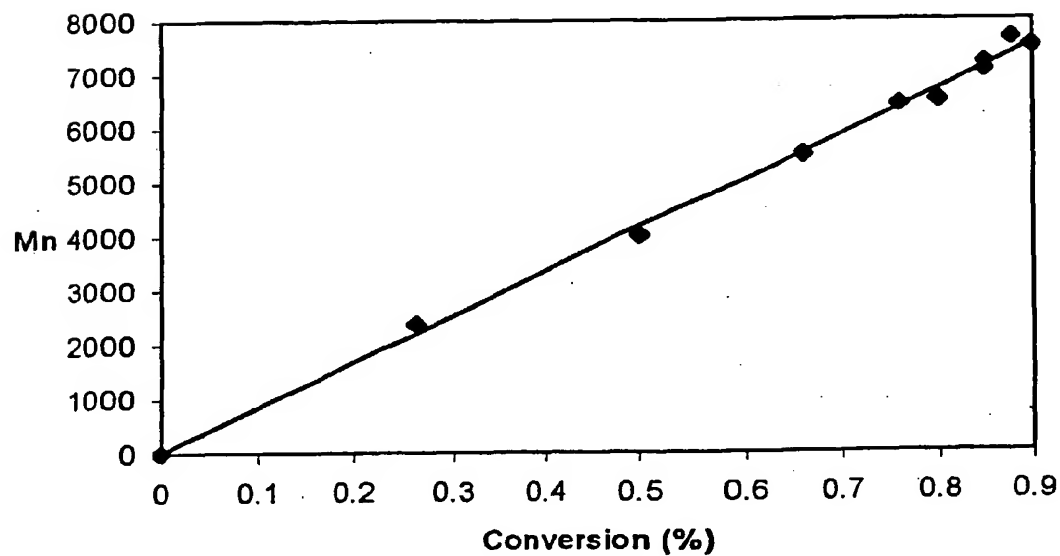


Figure 2

2/8

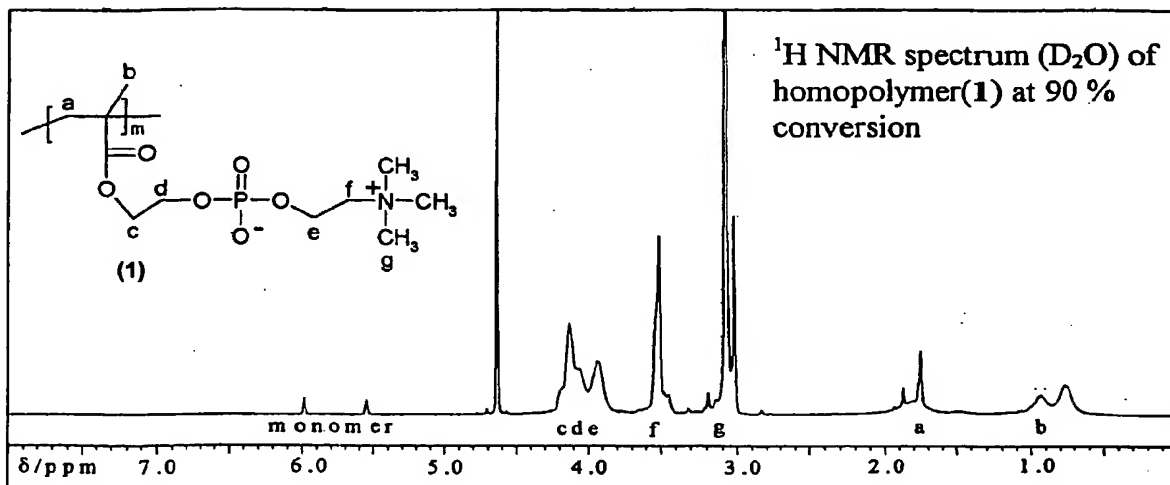


Figure 3

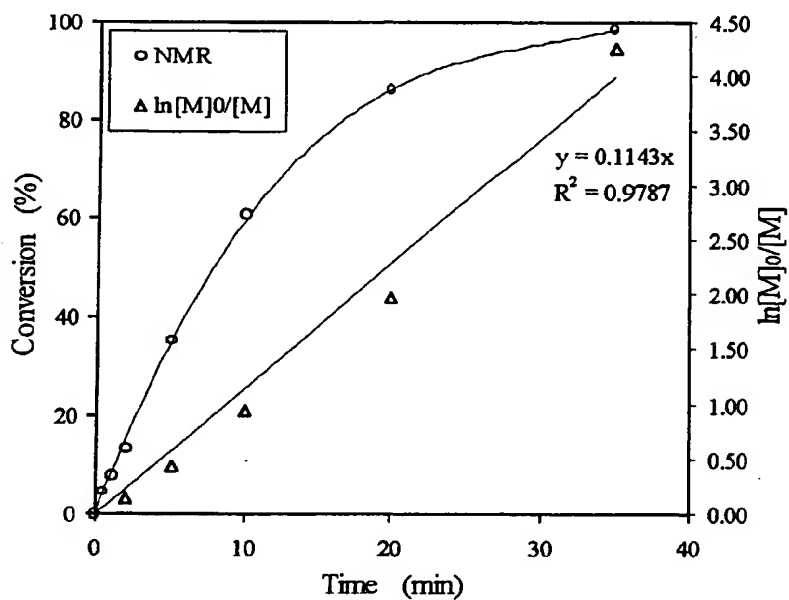


Figure 4

3/8

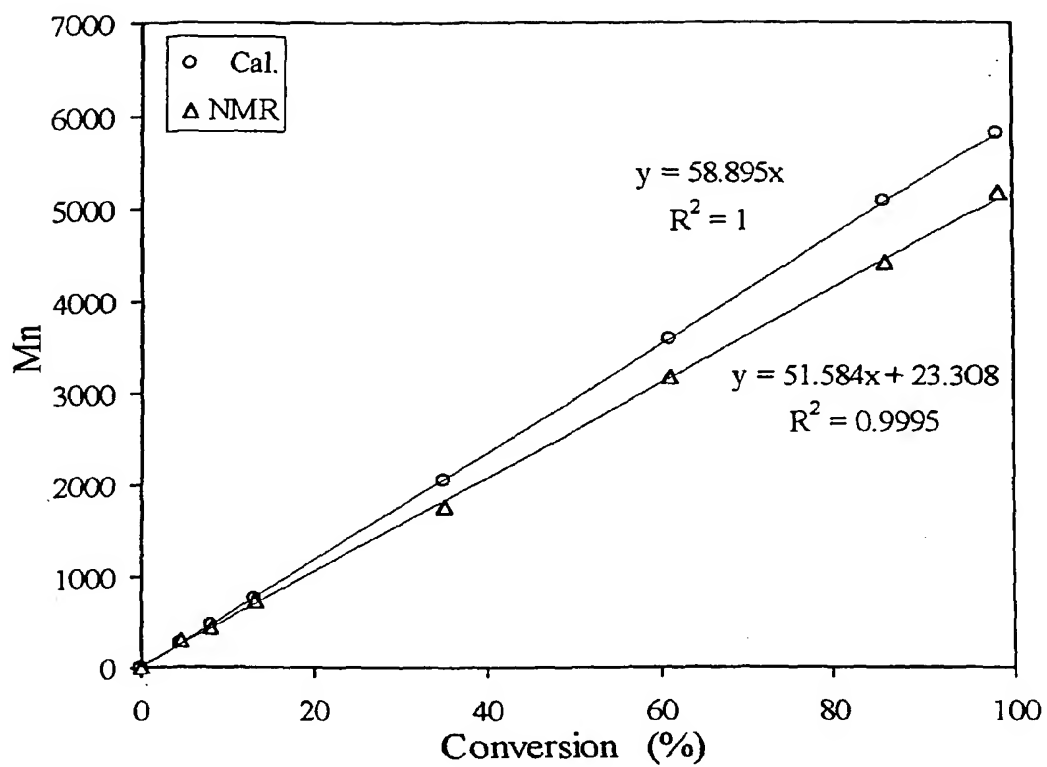


Figure 5

4/8

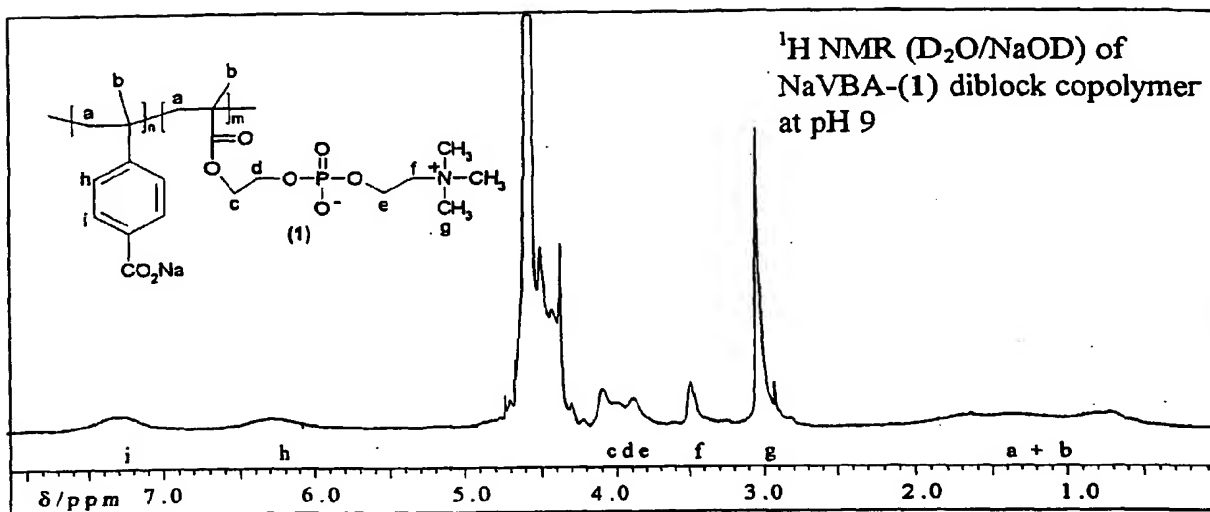


Figure 6

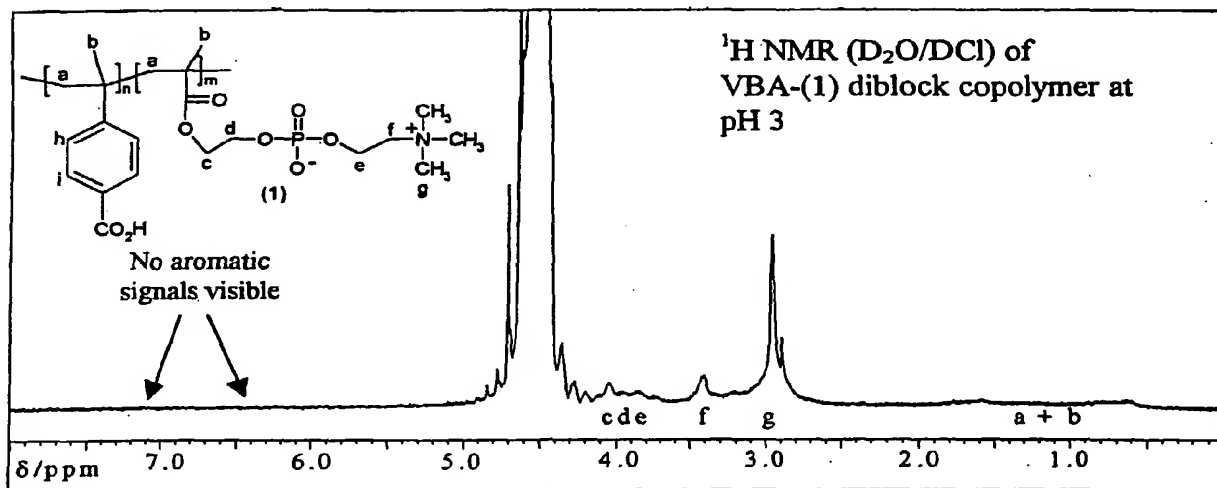


Figure 7

5/8

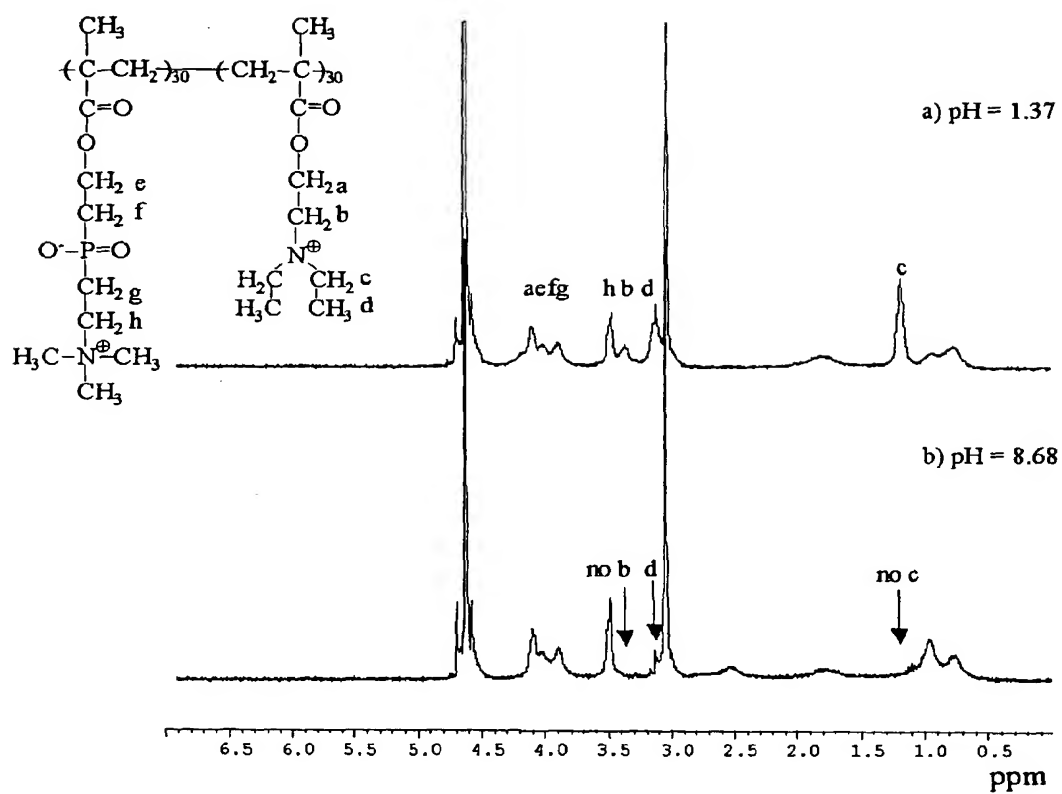
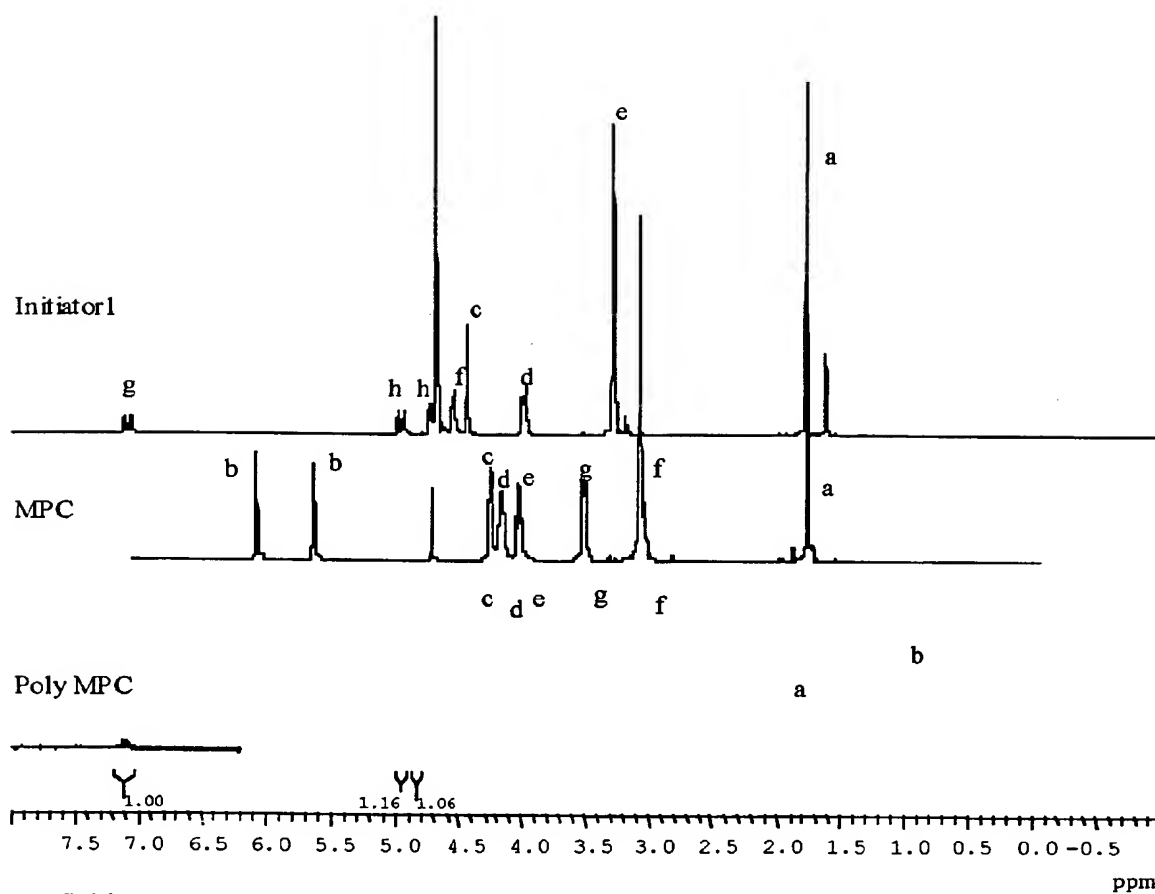
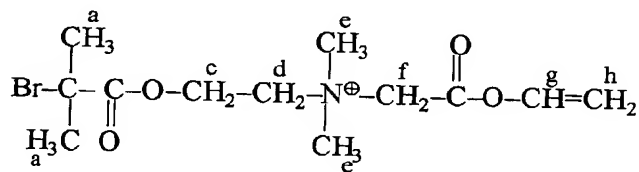


Fig. 8 Proton NMR spectra of Example 24 diblock copolymer obtained in D<sub>2</sub>O under the following conditions: a) pH 1.37, b) pH 8.68.

6/8



Initiator1:



MPC:

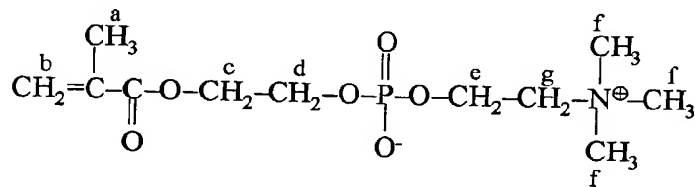


Fig.9  $^1\text{H}$  NMR spectra of the vinyl acetate-functionalised initiator1, MPC monomer and MPC polymer.



7/8

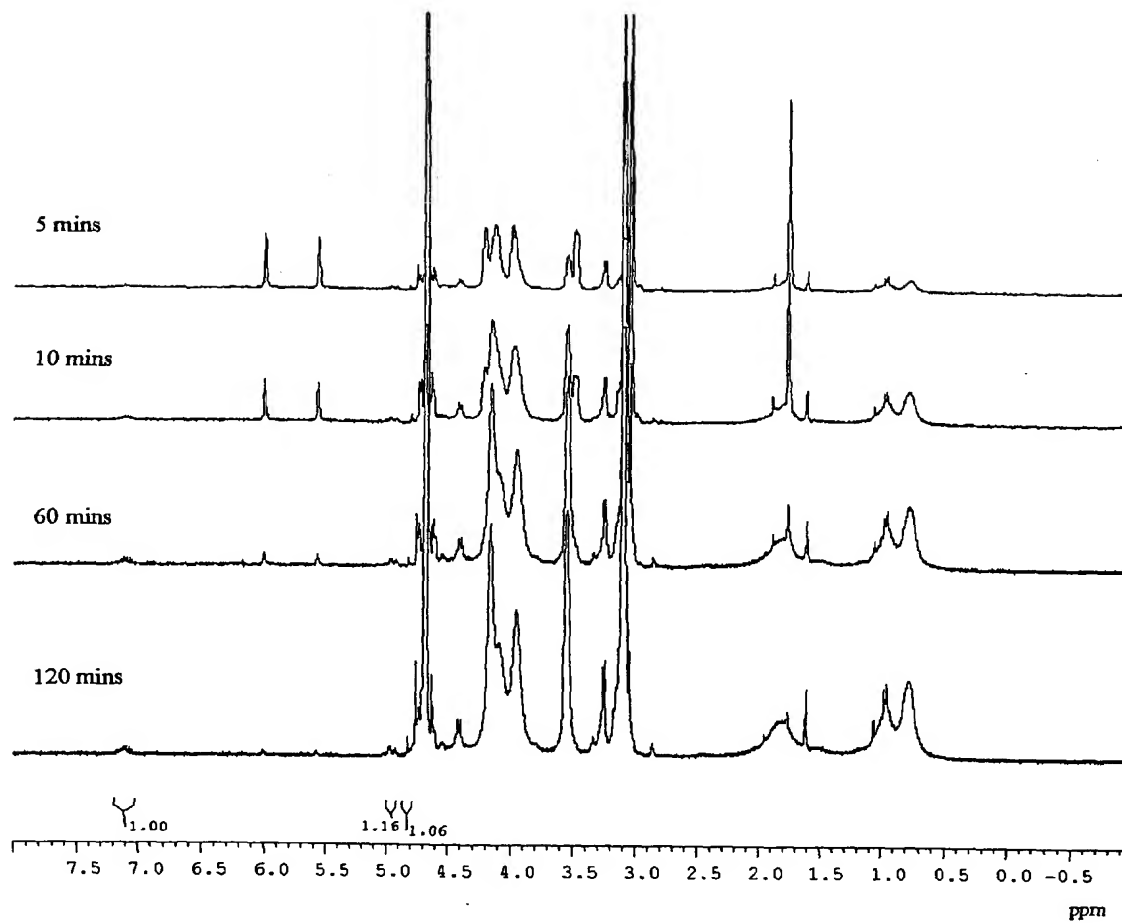


Figure 10

8/8

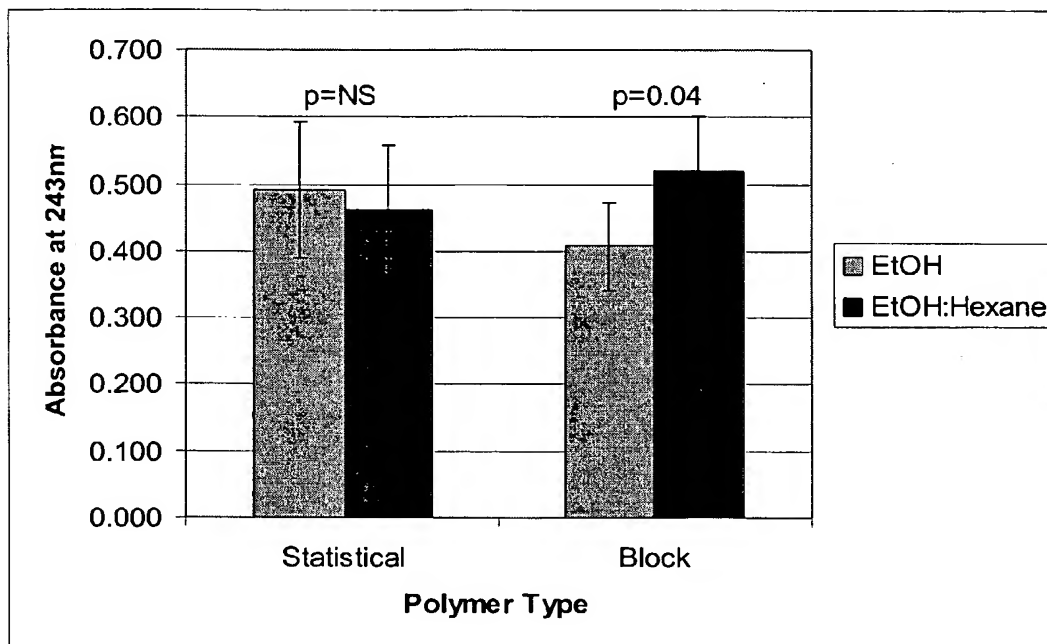


Figure 11

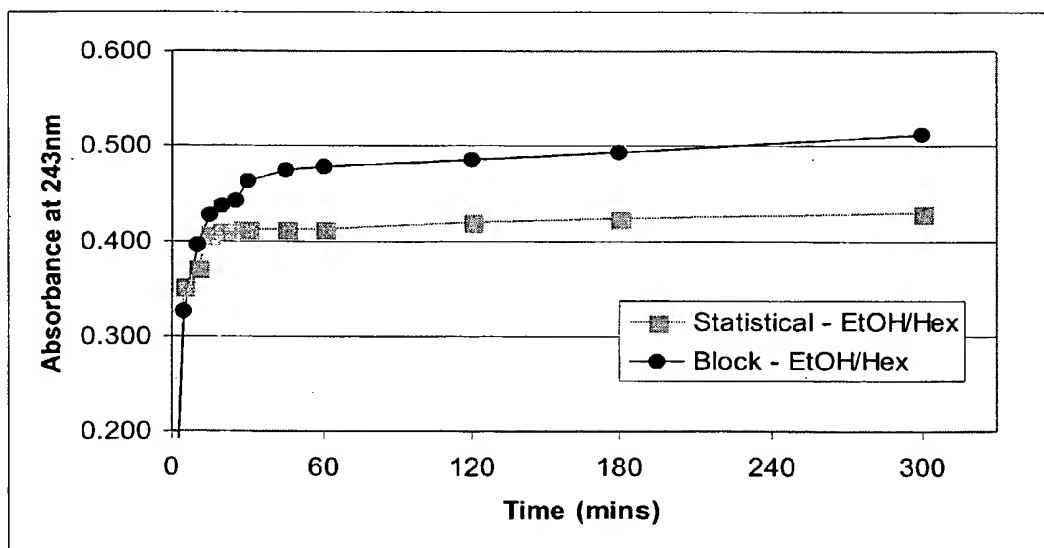


Figure 12

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 01/04432

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 C08F246/00 C08F4/40 C08F293/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 7 C08F		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 93 01221 A (BIOCOMPATIBLES LTD.) 21 January 1993 (1993-01-21) cited in the application	
A	WO 96 30421 A (MATYJASZEWSKI) 3 October 1996 (1996-10-03) cited in the application	
<input type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents : *A* document defining the general state of the art which is not considered to be of particular relevance *E* earlier document but published on or after the international filing date *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) *O* document referring to an oral disclosure, use, exhibition or other means *P* document published prior to the international filing date but later than the priority date claimed *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. *&* document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
14 December 2001		03/01/2002
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  Cauwenberg, C

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 01/04432

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9301221	A	21-01-1993	AT 190988 T	15-04-2000
			AT 196482 T	15-10-2000
			AU 666485 B2	15-02-1996
			AU 2231092 A	11-02-1993
			AU 697066 B2	24-09-1998
			AU 4030995 A	22-02-1996
			AU 7993198 A	08-10-1998
			AU 7993298 A	08-10-1998
			CA 2112411 A1	21-01-1993
			DE 69230823 D1	27-04-2000
			DE 69230823 T2	27-07-2000
			DE 69231476 D1	26-10-2000
			DE 69231476 T2	25-01-2001
			DK 593561 T3	21-08-2000
			DK 810239 T3	02-01-2001
			EP 0593561 A1	27-04-1994
			EP 0810239 A2	03-12-1997
			ES 2144419 T3	16-06-2000
			ES 2150723 T3	01-12-2000
			WO 9301221 A1	21-01-1993
			JP 11166015 A	22-06-1999
			JP 3190620 B2	23-07-2001
			JP 11166018 A	22-06-1999
			JP 11166150 A	22-06-1999
			JP 3204956 B2	04-09-2001
			JP 2000226550 A	15-08-2000
			JP 3030086 B2	10-04-2000
			JP 7502053 T	02-03-1995
			US 6090901 A	18-07-2000
			US 6284854 B1	04-09-2001
			US 6225431 B1	01-05-2001
			US 5648442 A	15-07-1997
			US 5739236 A	14-04-1998
			US 5705583 A	06-01-1998
			US 5783650 A	21-07-1998
			AT 196303 T	15-09-2000
			DE 69231450 D1	19-10-2000
			DE 69231450 T2	18-01-2001
			DK 818479 T3	15-01-2001
			EP 0818479 A2	14-01-1998
			EP 0861858 A2	02-09-1998
			ES 2150722 T3	01-12-2000
WO 9630421	A	03-10-1996	US 5763548 A	09-06-1998
			AU 720512 B2	01-06-2000
			AU 5306996 A	16-10-1996
			BR 9604887 A	30-11-1999
			CA 2216853 A1	03-10-1996
			CN 1183107 A	27-05-1998
			EP 0817806 A1	14-01-1998
			JP 3040172 B2	08-05-2000
			JP 10509475 T	14-09-1998
			WO 9630421 A1	03-10-1996